

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 09/01/2020 by DeJoy, Louis in Integrity.gov] - Filer received a 31 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 09/08/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 09/02/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 11/18/2020 by Granahan, Megan in Integrity.gov]

Data Revised 10/02/2020

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	LOWES COMPANIES INC (LOW), OPENED WRITTEN CALL OPTION POSITION		07/20/2020	No	\$1,001 - \$15,000
2	VISHAY INTERTECHNOLOGY INC (VSH)	Sale	07/21/2020	No	\$1,001 - \$15,000
3	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/21/2020	No	\$1,001 - \$15,000
4	APTARGROUP INC (ATR)	Sale	07/21/2020	No	\$1,001 - \$15,000
5	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/21/2020	No	\$1,001 - \$15,000
6	BARRICK GOLD CORP (GOLD)	Purchase	07/22/2020	No	\$100,001 - \$250,000
7	PROLOGIS INC COM (PLD)	Purchase	07/22/2020	No	\$15,001 - \$50,000
8	BROADCOM INC (AVGO)	Purchase	07/22/2020	No	\$1,001 - \$15,000
9	PROLOGIS INC COM (PLD)	Purchase	07/22/2020	No	\$1,001 - \$15,000
10	CBRE GROUP INC CL A (CBRE)	Purchase	07/22/2020	No	\$1,001 - \$15,000
11	NORTHERN TRUST CORP (NTRS)	Purchase	07/22/2020	No	\$1,001 - \$15,000
12	CAL MAINE FOODS INC (CALM)	Purchase	07/22/2020	No	\$1,001 - \$15,000
13	SANDERSON FARMS (SAFM)	Purchase	07/22/2020	No	\$1,001 - \$15,000
14	SCHNEIDER ELEC SA UNSP ADR (SBGSY)	Sale	07/22/2020	No	\$1,001 - \$15,000
15	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/22/2020	No	\$1,001 - \$15,000
16	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/22/2020	No	\$1,001 - \$15,000
17	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/22/2020	No	\$1,001 - \$15,000
18	MOTOROLA SOLUTIONS INC (MSI), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	WALT DISNEY CO HLDG CO (DIS), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$1,001 - \$15,000
20	BARRICK GOLD CORP (GOLD), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$15,001 - \$50,000
21	CHURCH & DWIGHT CO INC (CHD)	Sale	07/22/2020	No	\$15,001 - \$50,000
22	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/22/2020	No	\$15,001 - \$50,000
23	VALERO ENERGY CP DELA NEW (VLO)	Sale	07/22/2020	No	\$50,001 - \$100,000
24	SEAGATE TECHNOLOGY PLC (STX)	Purchase	07/23/2020	No	\$100,001 - \$250,000
25	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/23/2020	No	\$1,001 - \$15,000
26	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/23/2020	No	\$1,001 - \$15,000
27	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/23/2020	No	\$1,001 - \$15,000
28	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/23/2020	No	\$1,001 - \$15,000
29	SEAGATE TECHNOLOGY PLC (STX), OPENED WRITTEN CALL OPTION POSITION		07/23/2020	No	\$1,001 - \$15,000
30	CAL MAINE FOODS INC (CALM)	Purchase	07/24/2020	No	\$1,001 - \$15,000
31	1LIFE HEALTHCARE INC (ONEM)	Purchase	07/27/2020	No	\$100,001 - \$250,000
32	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/27/2020	No	\$1,001 - \$15,000
33	1LIFE HEALTHCARE INC (ONEM), OPENED WRITTEN CALL OPTION POSITION		07/27/2020	No	\$15,001 - \$50,000
34	ABM INDUSTRIES INCORPORATED (ABM)	Purchase	07/28/2020	No	\$1,001 - \$15,000
35	DANA INCORPORATED (DAN)	Sale	07/28/2020	No	\$1,001 - \$15,000
36	TRAVELERS COMPANIES INC COM (TRV)	Purchase	07/29/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
37	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	07/30/2020	No	\$1,001 - \$15,000
38	WESCO INTL INC DP SH FXRT PFD (WCC.A)	Purchase	07/30/2020	No	\$1,001 - \$15,000
39	WESCO INTL INC DP SH FXRT PFD (WCC.A)	Purchase	07/30/2020	No	\$1,001 - \$15,000
40	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	07/30/2020	No	\$1,001 - \$15,000
41	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
42	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
43	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
44	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	07/31/2020	No	\$1,001 - \$15,000
45	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	07/31/2020	No	\$15,001 - \$50,000
46	TRUIST FINCL CORP 4.75% SER-R (TSTFL)	Purchase	08/03/2020	No	\$15,001 - \$50,000
47	TRUIST FINCL CORP 4.75% SER-R (TSTFL)	Purchase	08/03/2020	No	\$15,001 - \$50,000
48	INTEGER HOLDINGS CORP (ITGR)	Purchase	08/03/2020	No	\$1,001 - \$15,000
49	LEGG MASON INC EXCHANGE FOR CASH	Exchange	08/03/2020	No	\$1,001 - \$15,000
50	TERADYNE INC (TER)	Sale	08/03/2020	No	\$1,001 - \$15,000
51	BAXTER INTL INC (BAX)	Purchase	08/04/2020	No	\$1,001 - \$15,000
52	EVEREST RE GROUP LTD (RE)	Sale	08/04/2020	No	\$1,001 - \$15,000
53	AMERICAN WATER WORKS CO (AWK)	Sale	08/04/2020	No	\$1,001 - \$15,000
54	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	08/04/2020	No	\$1,001 - \$15,000
55	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	08/04/2020	No	\$1,001 - \$15,000
56	SANDERSON FARMS (SAFM)	Purchase	08/05/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	EPIROC AKTIEBOLAG ADR (EPOKY)	Sale	08/05/2020	No	\$1,001 - \$15,000
58	DENTSPLY SIRONA INC (XRAY)	Purchase	08/06/2020	No	\$1,001 - \$15,000
59	CHUBB LTD (CB)	Purchase	08/06/2020	No	\$1,001 - \$15,000
60	MUELLER WATER PROD INC SER A (MWA)	Sale	08/06/2020	No	\$1,001 - \$15,000
61	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	Purchase	08/07/2020	No	\$1,001 - \$15,000
62	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	Purchase	08/07/2020	No	\$1,001 - \$15,000
63	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Sale	08/07/2020	No	\$1,001 - \$15,000
64	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/07/2020	No	\$1,001 - \$15,000
65	DIAGEO PLC SPON ADR NEW (DEO)	Purchase	08/10/2020	No	\$1,001 - \$15,000
66	HARSCO CORP (HSC)	Purchase	08/10/2020	No	\$1,001 - \$15,000
67	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/10/2020	No	\$1,001 - \$15,000
68	CERENCE INC (CRNC)	Sale	08/10/2020	No	\$1,001 - \$15,000
69	BROOKS-AUTOMATION INC (BRKS)	Sale	08/10/2020	No	\$1,001 - \$15,000
70	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Sale	08/10/2020	No	\$1,001 - \$15,000
71	HARSCO CORP (HSC)	Purchase	08/11/2020	No	\$1,001 - \$15,000
72	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/11/2020	No	\$1,001 - \$15,000
73	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/11/2020	No	\$500,001 - \$1,000,000
74	EATON CORP PLC SHS (ETN)	Purchase	08/12/2020	No	\$15,001 - \$50,000
75	EATON CORP PLC SHS (ETN)	Purchase	08/12/2020	No	\$1,001 - \$15,000
76	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$1,001 - \$15,000
77	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/12/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
78	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$1,001 - \$15,000
79	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$15,001 - \$50,000
80	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/12/2020	No	\$500,001 - \$1,000,000
81	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/13/2020	No	\$1,001 - \$15,000
82	WILLSCOT MOBIL MINI HOLDN CL A (WSC)	Purchase	08/13/2020	No	\$1,001 - \$15,000
83	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/13/2020	No	\$1,001 - \$15,000
84	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Sale	08/14/2020	No	\$1,001 - \$15,000
85	EATON CORP PLC SHS (ETN)	Purchase	08/18/2020	No	\$15,001 - \$50,000
86	MERCK & CO INC NEW COM (MRK)	Purchase	08/18/2020	No	\$15,001 - \$50,000
87	GILEAD SCIENCE (GILD)	Purchase	08/18/2020	No	\$15,001 - \$50,000
88	MERCK & CO INC NEW COM (MRK)	Purchase	08/18/2020	No	\$1,001 - \$15,000
89	GILEAD SCIENCE (GILD)	Purchase	08/18/2020	No	\$1,001 - \$15,000
90	EATON CORP PLC SHS (ETN)	Purchase	08/18/2020	No	\$1,001 - \$15,000
91	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/18/2020	No	\$1,001 - \$15,000
92	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/18/2020	No	\$1,001 - \$15,000
93	HEALTHCARE REALTY TRUST INCORP (HR)	Purchase	08/18/2020	No	\$1,001 - \$15,000
94	BP PLC ADS (BP)	Sale	08/18/2020	No	\$1,001 - \$15,000
95	HONEYWELL INTERNATIONAL INC (HON)	Sale	08/18/2020	No	\$1,001 - \$15,000
96	HONEYWELL INTERNATIONAL INC (HON)	Sale	08/18/2020	No	\$50,001 - \$100,000
97	BP PLC ADS (BP)	Sale	08/18/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
98	PRUDENTIAL FINANCIAL INC RATE:N/A/BBB+ 4.125% DUE:2060-09-01 (HGTYZ)	Purchase	08/20/2020	No	\$15,001 - \$50,000
99	PRUDENTIAL FINANCIAL INC RATE:N/A/BBB+ 4.125% DUE:2060-09-01 (HGTYZ)	Purchase	08/20/2020	No	\$15,001 - \$50,000
100	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/20/2020	No	\$1,001 - \$15,000
101	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/20/2020	No	\$1,001 - \$15,000
102	CAL MAINE FOODS INC (CALM)	Purchase	08/20/2020	No	\$1,001 - \$15,000
103	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/21/2020	No	\$1,001 - \$15,000
104	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/21/2020	No	\$1,001 - \$15,000
105	BECTON DICKINSON & CO DEP REPSTG PFD B RATE:N/A/N/A 6.000% DUE:2023-06-01 (BDXB)	Purchase	08/21/2020	No	\$1,001 - \$15,000
106	LINDSAY MFG CO (LNN)	Sale	08/21/2020	No	\$1,001 - \$15,000
107	AARON'S INCORPORATED (AAN)	Purchase	08/24/2020	No	\$1,001 - \$15,000
108	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/24/2020	No	\$1,001 - \$15,000
109	CVS CORPORATION (CVS), OPENED WRITTEN CALL OPTION POSITION		08/24/2020	No	\$1,001 - \$15,000
110	PENSKE AUTO GP INC (PAG), OPENED WRITTEN CALL OPTION POSITION		08/24/2020	No	\$15,001 - \$50,000
111	GILEAD SCIENCE (GILD)	Purchase	08/25/2020	No	\$15,001 - \$50,000
112	BRITISH AMER TOB SPON ADR (BTI)	Purchase	08/25/2020	No	\$15,001 - \$50,000
113	EATON CORP PLC SHS (ETN)	Purchase	08/25/2020	No	\$15,001 - \$50,000
114	BRITISH AMER TOB SPON ADR (BTI)	Purchase	08/25/2020	No	\$1,001 - \$15,000
115	GILEAD SCIENCE (GILD)	Purchase	08/25/2020	No	\$1,001 - \$15,000
116	EATON CORP PLC SHS (ETN)	Purchase	08/25/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
117	CAL MAINE FOODS INC (CALM)	Purchase	08/25/2020	No	\$1,001 - \$15,000
118	VANGUARD FTSE EMERGING MARKETS (VWO), OPENED WRITTEN CALL OPTION POSITION		08/25/2020	No	\$1,001 - \$15,000
119	BP PLC ADS (BP)	Sale	08/25/2020	No	\$1,001 - \$15,000
120	BP PLC ADS (BP)	Sale	08/25/2020	No	\$50,001 - \$100,000
121	QUALCOMM INC (QCOM)	Purchase	08/26/2020	No	\$1,001 - \$15,000
122	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	08/26/2020	No	\$1,001 - \$15,000
123	JOHNSON & JOHNSON (JNJ)	Sale	08/26/2020	No	\$1,001 - \$15,000
124	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	08/26/2020	No	\$15,001 - \$50,000
125	JOHNSON & JOHNSON (JNJ)	Sale	08/26/2020	No	\$50,001 - \$100,000
126	QUALCOMM INC (QCOM)	Purchase	08/27/2020	No	\$50,001 - \$100,000
127	WESBANCO INC 6.75% SER-A (WSBCP)	Purchase	08/27/2020	No	\$1,001 - \$15,000
128	WESBANCO INC 6.75% SER-A (WSBCP)	Purchase	08/27/2020	No	\$1,001 - \$15,000
129	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	08/27/2020	No	\$1,001 - \$15,000
130	ESSENTIAL UTILS INC RATE:N/A/N/A 6.000% DUE:2022-04-30 (WTRU)	Sale	08/27/2020	No	\$1,001 - \$15,000
131	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	Sale	08/27/2020	No	\$15,001 - \$50,000
132	HOME DEPOT INC (HD)	Sale	08/27/2020	No	\$15,001 - \$50,000
133	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/27/2020	No	\$500,001 - \$1,000,000
134	CALIF WATER SVC GP DEL (CWT)	Purchase	08/28/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
135	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	08/28/2020	No	\$1,001 - \$15,000
136	APTARGROUP INC (ATR)	Sale	08/28/2020	No	\$1,001 - \$15,000
137	DIAGEO PLC SPON ADR NEW (DEO)	Purchase	08/31/2020	No	\$1,001 - \$15,000
138	DENTSPLY SIRONA INC (XRAY)	Sale	08/31/2020	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person,

department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 10/02/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 11/05/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 10/05/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/22/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	MERCK & CO INC NEW COM (MRK)	Purchase	09/01/2020	No	\$15,001 - \$50,000
2	BLACKROCK INC CL A (BLK), CLOSED WRITTEN CALL OPTION POSITION		09/01/2020	No	\$15,001 - \$50,000
3	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/01/2020	No	\$1,001 - \$15,000
4	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/01/2020	No	\$1,001 - \$15,000
5	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/01/2020	No	\$1,001 - \$15,000
6	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/01/2020	No	\$1,001 - \$15,000
7	BLACKROCK INC CL A (BLK), OPENED WRITTEN CALL OPTION POSITION		09/01/2020	No	\$15,001 - \$50,000
8	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	09/01/2020	No	\$15,001 - \$50,000
9	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/02/2020	No	\$1,001 - \$15,000
10	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/02/2020	No	\$1,001 - \$15,000
11	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/02/2020	No	\$1,001 - \$15,000
12	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/02/2020	No	\$1,001 - \$15,000
13	STIFEL FINANCIAL CORP 6.25% (SF.A)	Sale	09/02/2020	No	\$1,001 - \$15,000
14	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	09/02/2020	No	\$1,001 - \$15,000
15	STIFEL FINANCIAL CORP 6.25% (SF.A)	Sale	09/02/2020	No	\$1,001 - \$15,000
16	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	09/02/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/08/2020	No	\$1,001 - \$15,000
18	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/08/2020	No	\$1,001 - \$15,000
19	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/09/2020	No	\$1,001 - \$15,000
20	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/09/2020	No	\$1,001 - \$15,000
21	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/09/2020	No	\$1,001 - \$15,000
22	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/09/2020	No	\$1,001 - \$15,000
23	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/09/2020	No	\$1,001 - \$15,000
24	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/09/2020	No	\$1,001 - \$15,000
25	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/09/2020	No	\$1,001 - \$15,000
26	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/09/2020	No	\$1,001 - \$15,000
27	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/09/2020	No	\$1,001 - \$15,000
28	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/09/2020	No	\$1,001 - \$15,000
29	QUALCOMM INC (QCOM)	Purchase	09/10/2020	No	\$15,001 - \$50,000
30	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/10/2020	No	\$1,001 - \$15,000
31	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/10/2020	No	\$1,001 - \$15,000
32	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	09/10/2020	No	\$15,001 - \$50,000
33	VIACOMCBS INC CL B (VIAC), CLOSED WRITTEN CALL OPTION POSITION		09/11/2020	No	\$50,001 - \$100,000
34	ELI LILLY & CO (LLY), CLOSED WRITTEN CALL OPTION POSITION		09/11/2020	No	\$15,001 - \$50,000
35	FIRST REPUBLIC BK 4.125% SER-K (FRCLL)	Purchase	09/11/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
36	FIRST REPUBLIC BK 4.125% SER-K (FRCLL)	Purchase	09/11/2020	No	\$1,001 - \$15,000
37	ELI LILLY & CO (LLY), OPENED WRITTEN CALL OPTION POSITION		09/11/2020	No	\$15,001 - \$50,000
38	VIACOMCBS INC CL B (VIAC), OPENED WRITTEN CALL OPTION POSITION		09/11/2020	No	\$50,001 - \$100,000
39	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/15/2020	No	\$1,001 - \$15,000
40	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/15/2020	No	\$1,001 - \$15,000
41	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/15/2020	No	\$1,001 - \$15,000
42	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/15/2020	No	\$1,001 - \$15,000
43	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/15/2020	No	\$1,001 - \$15,000
44	VANGUARD FTSE EMERGING MARKETS (VWO), OPENED WRITTEN CALL OPTION POSITION		09/15/2020	No	\$50,001 - \$100,000
45	PENN NATIONAL GAMING (PENN), CLOSED WRITTEN CALL OPTION POSITION		09/16/2020	No	\$100,001 - \$250,000
46	ROCKET COS INC CL A (RKT)	Purchase	09/16/2020	No	\$100,001 - \$250,000
47	QUALCOMM INC (QCOM)	Purchase	09/16/2020	No	\$15,001 - \$50,000
48	BROADCOM INC (AVGO)	Purchase	09/16/2020	No	\$15,001 - \$50,000
49	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/16/2020	No	\$1,001 - \$15,000
50	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/16/2020	No	\$1,001 - \$15,000
51	U.S. BANCORP 5.5%-K (USB.P)	Sale	09/16/2020	No	\$1,001 - \$15,000
52	ROCKET COS INC CL A (RKT), OPENED WRITTEN CALL OPTION POSITION		09/16/2020	No	\$15,001 - \$50,000
53	BAXTER INTL INC (BAX)	Sale	09/16/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
54	PENN NATIONAL GAMING (PENN), OPENED WRITTEN CALL OPTION POSITION		09/16/2020	No	\$100,001 - \$250,000
55	THE SOUTHERN CO 4.20% RATE:BAA3/BBB 4.200% DUE:2060-10-15 (TSHJZ)	Purchase	09/17/2020	No	\$15,001 - \$50,000
56	THE SOUTHERN CO 4.20% RATE:BAA3/BBB 4.200% DUE:2060-10-15 (TSHJZ)	Purchase	09/17/2020	No	\$15,001 - \$50,000
57	SPDR S&P 500 ETF TRUST CALL ASN SEP PRM 00,009,719.78 AS OF DATE: 09/17/2020 (SPY)	Sale	09/17/2020	No	\$100,001 - \$250,000
58	FIRST REPUBLIC BK 4.125% PFD K (FRC.K)	Purchase	09/18/2020	No	\$1,001 - \$15,000
59	VANGUARD FTSE EMERGING MARKETS (VWO), OPENED WRITTEN CALL OPTION POSITION		09/18/2020	No	\$100,001 - \$250,000
60	JPMORGAN CHASE & CO (JPM)	Purchase	09/21/2020	No	\$50,001 - \$100,000
61	TC ENERGY CORP (TRP)	Purchase	09/21/2020	No	\$15,001 - \$50,000
62	AFFILIATED MANAGERS GR 4.75% RATE:N/A/BBB- 4.750% DUE:2060-09-30 (AFTHZ)	Purchase	09/21/2020	No	\$1,001 - \$15,000
63	FIRST REPUBLIC BK 4.125% PFD K (FRC.K)	Purchase	09/21/2020	No	\$1,001 - \$15,000
64	AFFILIATED MANAGERS GR 4.75% RATE:N/A/BBB- 4.750% DUE:2060-09-30 (AFTHZ)	Purchase	09/21/2020	No	\$1,001 - \$15,000
65	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	09/21/2020	No	\$15,001 - \$50,000
66	DOMINION ENERGY INC (D)	Sale	09/21/2020	No	\$50,001 - \$100,000
67	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/22/2020	No	\$1,001 - \$15,000
68	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/22/2020	No	\$1,001 - \$15,000
69	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/22/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
70	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	09/22/2020	No	\$1,001 - \$15,000
71	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/22/2020	No	\$1,001 - \$15,000
72	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	09/22/2020	No	\$1,001 - \$15,000
73	AT&T INC 5.625% RATE:BAA2/BBB 5.625% DUE:2067-08-01 (TBC)	Sale	09/22/2020	No	\$1,001 - \$15,000
74	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	09/22/2020	No	\$1,001 - \$15,000
75	CROWN CASTLE INTL CORP (CCI)	Purchase	09/24/2020	No	\$50,001 - \$100,000
76	BRISTOL MYERS SQUIBB CO (BMY)	Purchase	09/24/2020	No	\$15,001 - \$50,000
77	AMERICAN ELECTRIC POWER CO (AEP)	Purchase	09/24/2020	No	\$15,001 - \$50,000
78	COCA COLA CO (KO)	Sale	09/24/2020	No	\$15,001 - \$50,000
79	DOMINION ENERGY INC (D)	Sale	09/24/2020	No	\$50,001 - \$100,000
80	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	09/25/2020	No	\$1,001 - \$15,000
81	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	09/25/2020	No	\$1,001 - \$15,000
82	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	09/25/2020	No	\$1,001 - \$15,000
83	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	09/25/2020	No	\$1,001 - \$15,000
84	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	09/25/2020	No	\$1,001 - \$15,000
85	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/25/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
86	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	09/25/2020	No	\$1,001 - \$15,000
87	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	09/25/2020	No	\$1,001 - \$15,000
88	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	09/25/2020	No	\$1,001 - \$15,000
89	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	09/25/2020	No	\$1,001 - \$15,000
90	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/28/2020	No	\$1,001 - \$15,000
91	THE HARTORD FIN SER6.0%SER-G (HIG.G)	Sale	09/28/2020	No	\$1,001 - \$15,000
92	AFFILIATED MANAGERS GR 4.75% RATE:N/A/BBB- 4.750% DUE:2060-09-30 (MGRB)	Purchase	09/30/2020	No	\$1,001 - \$15,000
93	AFFILIATED MANAGERS GR 4.75% RATE:N/A/BBB- 4.750% DUE:2060-09-30 (MGRB)	Purchase	09/30/2020	No	\$1,001 - \$15,000
94	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Sale	09/30/2020	No	\$1,001 - \$15,000
95	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	09/30/2020	No	\$1,001 - \$15,000
96	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	09/30/2020	No	\$1,001 - \$15,000
97	DUKE ENERGY CORPORATION (DUK)	Sale	09/30/2020	No	\$50,001 - \$100,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 11/02/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 11/19/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 11/03/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/22/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	10/01/2020	No	\$1,001 - \$15,000
2	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	10/01/2020	No	\$1,001 - \$15,000
3	GENERAL MILLS INC (GIS)	Purchase	10/02/2020	No	\$50,001 - \$100,000
4	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/02/2020	No	\$1,001 - \$15,000
5	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	10/02/2020	No	\$1,001 - \$15,000
6	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	10/02/2020	No	\$1,001 - \$15,000
7	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	10/02/2020	No	\$1,001 - \$15,000
8	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	10/02/2020	No	\$1,001 - \$15,000
9	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/02/2020	No	\$1,001 - \$15,000
10	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	10/02/2020	No	\$1,001 - \$15,000
11	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	10/02/2020	No	\$1,001 - \$15,000
12	CDN IMPL BK COMMERCE (CM)	Sale	10/02/2020	No	\$1,001 - \$15,000
13	PHILIP MORRIS INTL INC (PM)	Sale	10/02/2020	No	\$1,001 - \$15,000
14	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/05/2020	No	\$1,001 - \$15,000
15	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/05/2020	No	\$1,001 - \$15,000
16	XPO LOGISTICS INC (XPO)	Sale	10/05/2020	No	\$500,001 - \$1,000,000
17	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/06/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/06/2020	No	\$1,001 - \$15,000
19	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/07/2020	No	\$1,001 - \$15,000
20	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/07/2020	No	\$1,001 - \$15,000
21	GILEAD SCIENCE (GILD)	Purchase	10/08/2020	No	\$50,001 - \$100,000
22	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/08/2020	No	\$1,001 - \$15,000
23	BRISTOL MYERS SQUIBB CO (BMY)	Purchase	10/08/2020	No	\$1,001 - \$15,000
24	EATON CORP PLC SHS (ETN)	Purchase	10/08/2020	No	\$1,001 - \$15,000
25	JPMORGAN CHASE & CO (JPM)	Sale	10/08/2020	No	\$50,001 - \$100,000
26	DISCOVER FINCL SVCS (DFS)	Sale	10/08/2020	No	\$50,001 - \$100,000
27	QUALCOMM INC (QCOM)	Purchase	10/13/2020	No	\$50,001 - \$100,000
28	JOHNSON & JOHNSON (JNJ)	Sale	10/13/2020	No	\$15,001 - \$50,000
29	CISCO SYS INC (CSCO)	Sale	10/13/2020	No	\$15,001 - \$50,000
30	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/14/2020	No	\$15,001 - \$50,000
31	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/14/2020	No	\$1,001 - \$15,000
32	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/14/2020	No	\$1,001 - \$15,000
33	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/14/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
34	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/14/2020	No	\$1,001 - \$15,000
35	XPO LOGISTICS INC (XPO)	Sale	10/14/2020	No	\$500,001 - \$1,000,000
36	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/15/2020	No	\$1,001 - \$15,000
37	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/15/2020	No	\$1,001 - \$15,000
38	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/15/2020	No	\$1,001 - \$15,000
39	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/15/2020	No	\$1,001 - \$15,000
40	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/15/2020	No	\$1,001 - \$15,000
41	XPO LOGISTICS INC (XPO)	Sale	10/15/2020	No	\$500,001 - \$1,000,000
42	DISCOVER FINCL SVCS (DFS), CLOSED WRITTEN CALL OPTION POSITION		10/16/2020	No	\$15,001 - \$50,000
43	DISCOVER FINCL SVCS (DFS), OPENED WRITTEN CALL OPTION POSITION		10/16/2020	No	\$15,001 - \$50,000
44	XPO LOGISTICS INC (XPO)	Sale	10/19/2020	No	\$250,001 - \$500,000
45	XPO LOGISTICS INC (XPO)	Sale	10/19/2020	No	\$1,000,001 - \$5,000,000
46	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/20/2020	No	\$100,001 - \$250,000
47	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000
48	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
49	PRUDENTIAL FINANCIAL INC 5.625% RATE:BAA1/BBB+ 5.625% DUE:2058-08-15 (PRS)	Sale	10/20/2020	No	\$1,001 - \$15,000
50	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000
51	PRUDENTIAL FINANCIAL INC 5.625% RATE:BAA1/BBB+ 5.625% DUE:2058-08-15 (PRS)	Sale	10/20/2020	No	\$1,001 - \$15,000
52	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
53	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
54	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
55	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
56	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
57	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
58	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
59	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
60	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000
61	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000
62	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
63	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
64	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
65	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
66	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
67	RAYTHEON TECHNOLOGIES CORP (RTX)	Purchase	10/22/2020	No	\$100,001 - \$250,000
68	GILEAD SCIENCE (GILD)	Purchase	10/22/2020	No	\$15,001 - \$50,000
69	MERCK & CO INC NEW COM (MRK)	Purchase	10/22/2020	No	\$15,001 - \$50,000
70	U.S. BANCORP 3.75% SER-L (UBKPL)	Purchase	10/22/2020	No	\$1,001 - \$15,000
71	U.S. BANCORP 3.75% SER-L (UBKPL)	Purchase	10/22/2020	No	\$1,001 - \$15,000
72	VODAFONE GROUP PLC (VOD)	Purchase	10/22/2020	No	\$1,001 - \$15,000
73	RAYTHEON TECHNOLOGIES CO (RTX), OPENED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$1,001 - \$15,000
74	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/22/2020	No	\$1,001 - \$15,000
75	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	10/22/2020	No	\$50,001 - \$100,000
76	XPO LOGISTICS INC (XPO)	Sale	10/22/2020	No	\$500,001 - \$1,000,000
77	XPO LOGISTICS INC (XPO)	Sale	10/22/2020	No	\$1,000,001 - \$5,000,000
78	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/23/2020	No	\$1,001 - \$15,000
79	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/23/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
80	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/23/2020	No	\$1,001 - \$15,000
81	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	10/23/2020	No	\$1,001 - \$15,000
82	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/23/2020	No	\$1,001 - \$15,000
83	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	10/23/2020	No	\$15,001 - \$50,000
84	XPO LOGISTICS INC (XPO)	Sale	10/23/2020	No	\$250,001 - \$500,000
85	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/26/2020	No	\$1,001 - \$15,000
86	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/26/2020	No	\$1,001 - \$15,000
87	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/26/2020	No	\$1,001 - \$15,000
88	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/26/2020	No	\$1,001 - \$15,000
89	PFIZER INC (PFE)	Sale	10/26/2020	No	\$1,001 - \$15,000
90	VERIZON COMMUNICATIONS (VZ)	Sale	10/26/2020	No	\$15,001 - \$50,000
91	AT&T INC (T)	Sale	10/26/2020	No	\$15,001 - \$50,000
92	XPO LOGISTICS INC (XPO)	Sale	10/26/2020	No	\$250,001 - \$500,000
93	XPO LOGISTICS INC (XPO)	Sale	10/26/2020	No	\$1,000,001 - \$5,000,000
94	XPO LOGISTICS INC (XPO)	Sale	10/27/2020	No	\$1,000,001 - \$5,000,000
95	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/28/2020	No	\$50,001 - \$100,000
96	XPO LOGISTICS INC (XPO)	Sale	10/28/2020	No	\$1,000,001 - \$5,000,000
97	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/29/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
98	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/29/2020	No	\$15,001 - \$50,000
99	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/29/2020	No	\$15,001 - \$50,000
100	XPO LOGISTICS INC (XPO)	Sale	10/29/2020	No	\$500,001 - \$1,000,000
101	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/30/2020	No	\$15,001 - \$50,000
102	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Purchase	10/30/2020	No	\$1,001 - \$15,000
103	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/30/2020	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 12/03/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 12/09/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 12/07/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/31/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ABBVIE INC COM (ABBV)	Purchase	11/18/2020	No	\$15,001 - \$50,000
2	AGNC INVMNT CO 6.125% SER-F (AGNCP)	Purchase	11/24/2020	No	\$1,001 - \$15,000
3	AGNC INVT CORP CUM 1/1000 7% C (AGNCN)	Purchase	11/24/2020	No	\$1,001 - \$15,000
4	ALGONQUIN POWER & UTILITIES CORP 6.875%-A RATE:N/A/BB+ 6.875% DUE:2078-10- 17 (AQNA)	Purchase	11/24/2020	No	\$1,001 - \$15,000
5	AMERICAN ELEC PWR CO INC (AEP)	Purchase	11/02/2020	No	\$15,001 - \$50,000
6	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Purchase	11/02/2020	No	\$1,001 - \$15,000
7	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Purchase	11/24/2020	No	\$1,001 - \$15,000
8	AT&T INC (T)	Sale	11/06/2020	No	\$250,001 - \$500,000
9	AT&T INC (T)	Sale	11/12/2020	No	\$100,001 - \$250,000
10	AT&T INC 4.750% SER-C (T.C)	Sale	11/06/2020	No	\$15,001 - \$50,000
11	AT&T INC 4.750% SER-C (T.C)	Sale	11/06/2020	No	\$15,001 - \$50,000
12	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Purchase	11/24/2020	No	\$1,001 - \$15,000
13	BROOKFIELD FIN I UK 4.50% (BKHYZ)	Purchase	11/19/2020	No	\$15,001 - \$50,000
14	BROOKFIELD FIN I UK 4.50% (BKHYZ)	Purchase	11/19/2020	No	\$15,001 - \$50,000
15	CARLYLE GROUP INC (CG), OPENED WRITTEN CALL OPTION POSITION		11/25/2020	No	\$15,001 - \$50,000
16	CHARLES SCHWAB (SCHW), CLOSED WRITTEN CALL OPTION POSITION		11/05/2020	No	\$15,001 - \$50,000
17	CHARLES SCHWAB (SCHW), OPENED WRITTEN CALL OPTION POSITION		11/05/2020	No	\$15,001 - \$50,000
18	CHS INC (CHSCN)	Purchase	11/24/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER RATE:BAA3/BB+ 6.584% DUE:2040-10-30 (C.N)	Purchase	11/24/2020	No	\$1,001 - \$15,000
20	CITIGROUP INC 6.875%-K (C.K)	Purchase	11/24/2020	No	\$1,001 - \$15,000
21	CITIGRP INC 7.125%-J (C.J)	Purchase	11/24/2020	No	\$1,001 - \$15,000
22	COCA COLA CO (KO)	Sale	11/18/2020	No	\$50,001 - \$100,000
23	CROWN CASTLE INTL CORP (CCI)	Purchase	11/18/2020	No	\$15,001 - \$50,000
24	CVS CORPORATION (CVS), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$1,001 - \$15,000
25	CVS HEALTH CORP COM (CVS)	Sale	11/12/2020	No	\$100,001 - \$250,000
26	DIGITAL RLTY TR INC RED SER C (DLR.C)	Purchase	11/24/2020	No	\$1,001 - \$15,000
27	DISCOVER FINCL SVCS (DFS)	Sale	11/12/2020	No	\$100,001 - \$250,000
28	DISCOVER FINCL SVCS (DFS), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$15,001 - \$50,000
29	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/23/2020	No	\$1,001 - \$15,000
30	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/13/2020	No	\$1,001 - \$15,000
31	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/13/2020	No	\$1,001 - \$15,000
32	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/20/2020	No	\$1,001 - \$15,000
33	ENBRIDGE INC 6.375%-B RATE:BA1/BBB- 6.375% DUE:2078-04-15 (ENBA)	Purchase	11/24/2020	No	\$1,001 - \$15,000
34	GENERAL MILLS INC (GIS)	Purchase	11/18/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
35	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	11/18/2020	No	\$50,001 - \$100,000
36	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	Purchase	11/24/2020	No	\$1,001 - \$15,000
37	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/02/2020	No	\$1,001 - \$15,000
38	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/03/2020	No	\$1,001 - \$15,000
39	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/04/2020	No	\$1,001 - \$15,000
40	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	Purchase	11/24/2020	No	\$1,001 - \$15,000
41	INTL BUSINESS MACHINES CORP (IBM)	Sale	11/12/2020	No	\$100,001 - \$250,000
42	JP MORGAN CHASE&CO (JPM), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$15,001 - \$50,000
43	JPMORGAN CHASE & CO (JPM)	Sale	11/06/2020	No	\$250,001 - \$500,000
44	JPMORGAN CHASE & CO (JPM)	Sale	11/12/2020	No	\$100,001 - \$250,000
45	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	11/06/2020	No	\$1,001 - \$15,000
46	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	11/06/2020	No	\$1,001 - \$15,000
47	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	Sale	11/06/2020	No	\$1,001 - \$15,000
48	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	Sale	11/06/2020	No	\$1,001 - \$15,000
49	KIMBERLY CLARK CORP (KMB)	Purchase	11/18/2020	No	\$50,001 - \$100,000
50	LAS VEGAS SANDS CORPORATION (LVS), OPENED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$1,001 - \$15,000
51	LINCOLN NTL CP IND (LNC), OPENED WRITTEN CALL OPTION POSITION		11/24/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
52	MC DONALDS CORP (MCD), CLOSED WRITTEN CALL OPTION POSITION		11/27/2020	No	\$15,001 - \$50,000
53	MC DONALDS CORP (MCD), OPENED WRITTEN CALL OPTION POSITION		11/27/2020	No	\$15,001 - \$50,000
54	MICROSOFT CORP (MSFT)	Sale	11/06/2020	No	\$250,001 - \$500,000
55	MORGAN STANLEY 6.875%-F (MS.F)	Purchase	11/24/2020	No	\$1,001 - \$15,000
56	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/16/2020	No	\$1,001 - \$15,000
57	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/24/2020	No	\$1,001 - \$15,000
58	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/16/2020	No	\$1,001 - \$15,000
59	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/24/2020	No	\$1,001 - \$15,000
60	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/16/2020	No	\$1,001 - \$15,000
61	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/17/2020	No	\$1,001 - \$15,000
62	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/19/2020	No	\$1,001 - \$15,000
63	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Purchase	11/24/2020	No	\$1,001 - \$15,000
64	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)	Purchase	11/24/2020	No	\$1,001 - \$15,000
65	PUBLIC STORAGE 3.9% SER-O (PSAOL)	Purchase	11/10/2020	No	\$1,001 - \$15,000
66	PUBLIC STORAGE 3.9% SER-O (PSAOL)	Purchase	11/10/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
67	PUBLIC STORAGE 3.9% SER-O (PSAOL)		Purchase	11/11/2020	No	\$1,001 - \$15,000
68	REGIONS FINANCIAL CO 6.375%-B (RF.B)		Sale	11/16/2020	No	\$1,001 - \$15,000
69	REGIONS FINANCIAL CO 6.375%-B (RF.B)		Sale	11/16/2020	No	\$1,001 - \$15,000
70	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)		Sale	11/20/2020	No	\$1,001 - \$15,000
71	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)		Sale	11/24/2020	No	\$1,001 - \$15,000
72	SCE TRUST V 5.45%-K (SCE.K)		Purchase	11/24/2020	No	\$1,001 - \$15,000
73	SCE TRUST VI 5%-L (SCE.L)		Purchase	11/11/2020	No	\$1,001 - \$15,000
74	SCE TRUST VI 5%-L (SCE.L)		Purchase	11/11/2020	No	\$1,001 - \$15,000
75	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/11/2020	No	\$1,001 - \$15,000
76	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/16/2020	No	\$1,001 - \$15,000
77	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/30/2020	No	\$1,001 - \$15,000
78	VALERO ENERGY CP DELA NEW (VLO)		Sale	11/18/2020	No	\$15,001 - \$50,000
79	VIATRIS INC (VTRS)	See Endnote	Sale	11/20/2020	No	\$15,001 - \$50,000
80	VORNADO REALTY TR 5.25% SER-N (VORTP)		Purchase	11/24/2020	No	\$1,001 - \$15,000
81	WELLS FARGO & CO 5.5% SER-X (WFC.X)		Purchase	11/24/2020	No	\$1,001 - \$15,000
82	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)		Purchase	11/24/2020	No	\$1,001 - \$15,000
83	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)		Purchase	11/24/2020	No	\$1,001 - \$15,000
84	XPO LOGISTICS INC (XPO)		Sale	11/02/2020	No	\$250,001 - \$500,000
85	XPO LOGISTICS INC (XPO)		Sale	11/02/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
86	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$250,001 - \$500,000
87	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$250,001 - \$500,000
88	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$500,001 - \$1,000,000
89	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$1,000,001 - \$5,000,000
90	XPO LOGISTICS INC (XPO)	Sale	11/04/2020	No	\$500,001 - \$1,000,000
91	XPO LOGISTICS INC (XPO)	Sale	11/04/2020	No	\$1,000,001 - \$5,000,000
92	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$100,001 - \$250,000
93	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$500,001 - \$1,000,000
94	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$500,001 - \$1,000,000
95	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$1,000,001 - \$5,000,000
96	XPO LOGISTICS INC (XPO)	Sale	11/06/2020	No	\$500,001 - \$1,000,000
97	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000
98	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000
99	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
100	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
101	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
102	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
103	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/06/2020	No	\$100,001 - \$250,000
104	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$250,001 - \$500,000
105	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$100,001 - \$250,000
106	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$100,001 - \$250,000
107	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Purchase	11/24/2020	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	79	Received shares from stock spin-off on 11/17/20

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 01/08/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 02/16/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 02/04/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/08/2021 by Granahan, Megan in Integrity.gov]

Data Revised 01/15/2021

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ACTIVISION BLIZZARD INC (ATVI), CLOSED WRITTEN CALL OPTION POSITION		12/21/2020	No	\$15,001 - \$50,000
2	ACTIVISION BLIZZARD INC (ATVI), CLOSED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$50,001 - \$100,000
3	ACTIVISION BLIZZARD INC (ATVI), OPENED WRITTEN CALL OPTION POSITION		12/21/2020	No	\$1,001 - \$15,000
4	ACTIVISION BLIZZARD INC (ATVI), OPENED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$15,001 - \$50,000
5	AGNC INVMNT CO 6.125% SER-F (AGNCP)	Purchase	12/02/2020	No	\$1,001 - \$15,000
6	AGNC INVT CORP CUM 1/1000 7% C (AGNCN)	Purchase	12/02/2020	No	\$1,001 - \$15,000
7	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	12/02/2020	No	\$15,001 - \$50,000
8	AMERICAN TOWER REIT COM (AMT)	Purchase	12/10/2020	No	\$50,001 - \$100,000
9	ANNALY CAP MGMNT 6.95%-F (NLY.F)	Purchase	12/02/2020	No	\$1,001 - \$15,000
10	ANNALY CAP MGMT INC PFD SER I (NLY.I)	Purchase	12/02/2020	No	\$1,001 - \$15,000
11	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	Purchase	12/02/2020	No	\$1,001 - \$15,000
12	ASSURANT INC 5.25% CAL NT 61 RATE:BA1/BB+ 5.250% DUE:2061-01-15 (AIZN)	Purchase	12/02/2020	No	\$15,001 - \$50,000
13	BANK OF AMERICA CORP (BAC), CLOSED WRITTEN CALL OPTION POSITION		12/04/2020	No	\$1,001 - \$15,000
14	BANK OF AMERICA CORP (BAC), OPENED WRITTEN CALL OPTION POSITION		12/04/2020	No	\$1,001 - \$15,000
15	BCE INC (BCE)	Sale	12/30/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
16	BOEING CO (BA)	Sale	12/29/2020	No	\$100,001 - \$250,000
17	BRISTOL MYERS SQUIBB CO (BMY), CLOSED WRITTEN CALL OPTION POSITION		12/28/2020	No	\$1,001 - \$15,000
18	BRISTOL MYERS SQUIBB CO (BMY), OPENED WRITTEN CALL OPTION POSITION		12/28/2020	No	\$1,001 - \$15,000
19	CAPITAL ONE FINANCIAL 5%-I PRF (COF.I)	Sale	12/11/2020	No	\$50,001 - \$100,000
20	CAPITAL ONE FINANCIAL CO 6%-H (COF.H)	Sale	12/11/2020	No	\$15,001 - \$50,000
21	CHEVRON CORP (CVX)	Sale	12/30/2020	No	\$100,001 - \$250,000
22	CHEVRON CORP (CVX)	Sale	12/30/2020	No	\$100,001 - \$250,000
23	CHEVRON CORP (CVX)	Sale	12/29/2020	No	\$100,001 - \$250,000
24	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER (C.N) RATE:BAA3/BB+ 6.584% DUE:2040-10-30 (C.N)	Purchase	12/02/2020	No	\$1,001 - \$15,000
25	CLEARFORK CAPTL LLC (AIK03)	Sale	12/16/2020	No	\$1,000,001 - \$5,000,000
26	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/11/2020	No	\$250,001 - \$500,000
27	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/11/2020	No	\$250,001 - \$500,000
28	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/17/2020	No	\$100,001 - \$250,000
29	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/17/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
30	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/23/2020	No	\$1,001 - \$15,000
31	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/23/2020	No	\$15,001 - \$50,000
32	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/29/2020	No	\$250,001 - \$500,000
33	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	12/29/2020	No	\$500,001 - \$1,000,000
34	COMCAST CORP (NEW) CLASS (CMCSA), OPENED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$1,001 - \$15,000
35	COMCAST CORP (NEW) CLASS A (CMCSA)	Purchase	12/29/2020	No	\$100,001 - \$250,000
36	CROWN CASTLE INTL CORP (CCI)	Sale	12/10/2020	No	\$15,001 - \$50,000
37	DOMINION ENERGY INC (D)	Sale	12/11/2020	No	\$100,001 - \$250,000
38	EATON VANCE TAX MG CEF (ETY)	Purchase	12/11/2020	No	\$250,001 - \$500,000
39	EATON VANCE TAX MG CEF (ETY)	Purchase	12/11/2020	No	\$250,001 - \$500,000
40	EATON VANCE TAX MG CEF (ETY)	Purchase	12/17/2020	No	\$100,001 - \$250,000
41	EATON VANCE TAX MG CEF (ETY)	Purchase	12/17/2020	No	\$250,001 - \$500,000
42	EATON VANCE TAX MG CEF (ETY)	Purchase	12/23/2020	No	\$1,001 - \$15,000
43	EATON VANCE TAX MG CEF (ETY)	Purchase	12/23/2020	No	\$15,001 - \$50,000
44	EATON VANCE TAX MG CEF (ETY)	Purchase	12/29/2020	No	\$100,001 - \$250,000
45	EATON VANCE TAX MG CEF (ETY)	Purchase	12/29/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
46	ENBRIDGE INC (ENB)	Sale	12/30/2020	No	\$100,001 - \$250,000
47	EXXON MOBIL CORP (XOM)	Sale	12/30/2020	No	\$250,001 - \$500,000
48	F N B CORP FLA DEP SHS REPSTG (FNB.E)	Sale	12/09/2020	No	\$1,001 - \$15,000
49	FOX CORP CL A (FOXA)	Sale	12/29/2020	No	\$100,001 - \$250,000
50	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/11/2020	No	\$250,001 - \$500,000
51	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/11/2020	No	\$250,001 - \$500,000
52	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/17/2020	No	\$100,001 - \$250,000
53	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/17/2020	No	\$250,001 - \$500,000
54	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/23/2020	No	\$1,001 - \$15,000
55	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/23/2020	No	\$15,001 - \$50,000
56	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/29/2020	No	\$100,001 - \$250,000
57	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	12/29/2020	No	\$250,001 - \$500,000
58	GENERAL ELECTRIC CO (GE)	Purchase	12/09/2020	No	\$50,001 - \$100,000
59	GENERAL ELECTRIC CO (GE), OPENED WRITTEN CALL OPTION POSITION		12/09/2020	No	\$1,001 - \$15,000
60	GILEAD SCIENCE (GILD)	Sale	12/30/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/11/2020	No	\$250,001 - \$500,000
62	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/11/2020	No	\$250,001 - \$500,000
63	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/17/2020	No	\$100,001 - \$250,000
64	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/17/2020	No	\$250,001 - \$500,000
65	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/23/2020	No	\$1,001 - \$15,000
66	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/23/2020	No	\$15,001 - \$50,000
67	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/29/2020	No	\$100,001 - \$250,000
68	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	12/29/2020	No	\$250,001 - \$500,000
69	GS EXCHANGE PLACE LP (BAV30)	Sale	12/31/2020	No	\$1,000,001 - \$5,000,000
70	HONEYWELL INTERNATIONAL INC (HON)	Sale	12/11/2020	No	\$250,001 - \$500,000
71	INTL BUSINESS MACHINES CORP (IBM)	Sale	12/11/2020	No	\$100,001 - \$250,000
72	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/11/2020	No	\$100,001 - \$250,000
73	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/11/2020	No	\$100,001 - \$250,000
74	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/17/2020	No	\$15,001 - \$50,000
75	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/17/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
76	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/23/2020	No	\$1,001 - \$15,000
77	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/23/2020	No	\$1,001 - \$15,000
78	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/29/2020	No	\$15,001 - \$50,000
79	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	12/29/2020	No	\$100,001 - \$250,000
80	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/11/2020	No	\$100,001 - \$250,000
81	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/11/2020	No	\$100,001 - \$250,000
82	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/17/2020	No	\$15,001 - \$50,000
83	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/17/2020	No	\$100,001 - \$250,000
84	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/23/2020	No	\$1,001 - \$15,000
85	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/23/2020	No	\$1,001 - \$15,000
86	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/29/2020	No	\$15,001 - \$50,000
87	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	12/29/2020	No	\$100,001 - \$250,000
88	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/11/2020	No	\$100,001 - \$250,000
89	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/11/2020	No	\$100,001 - \$250,000
90	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/17/2020	No	\$15,001 - \$50,000
91	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/17/2020	No	\$100,001 - \$250,000
92	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/23/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
93	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/23/2020	No	\$1,001 - \$15,000
94	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/29/2020	No	\$15,001 - \$50,000
95	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	12/29/2020	No	\$100,001 - \$250,000
96	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/11/2020	No	\$100,001 - \$250,000
97	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/11/2020	No	\$100,001 - \$250,000
98	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/17/2020	No	\$15,001 - \$50,000
99	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/17/2020	No	\$100,001 - \$250,000
100	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/23/2020	No	\$1,001 - \$15,000
101	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/23/2020	No	\$1,001 - \$15,000
102	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/29/2020	No	\$15,001 - \$50,000
103	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	12/29/2020	No	\$100,001 - \$250,000
104	ISHARES CORE HIGH DIVIDEND ETF (HDV)	Purchase	12/30/2020	No	\$500,001 - \$1,000,000
105	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
106	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
107	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/17/2020	No	\$100,001 - \$250,000
108	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/17/2020	No	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
109	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/23/2020	No	\$1,001 - \$15,000
110	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/23/2020	No	\$50,001 - \$100,000
111	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/29/2020	No	\$100,001 - \$250,000
112	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	12/29/2020	No	\$500,001 - \$1,000,000
113	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
114	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
115	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/17/2020	No	\$100,001 - \$250,000
116	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/17/2020	No	\$500,001 - \$1,000,000
117	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/23/2020	No	\$1,001 - \$15,000
118	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/23/2020	No	\$50,001 - \$100,000
119	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/29/2020	No	\$100,001 - \$250,000
120	ISHARES CORE S&P 500 ETF (IVV)	Purchase	12/29/2020	No	\$500,001 - \$1,000,000
121	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/11/2020	No	\$250,001 - \$500,000
122	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/11/2020	No	\$250,001 - \$500,000
123	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/17/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
124	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/17/2020	No	\$250,001 - \$500,000
125	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/23/2020	No	\$1,001 - \$15,000
126	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/29/2020	No	\$100,001 - \$250,000
127	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	12/29/2020	No	\$250,001 - \$500,000
128	ISHARES RUSSELL 2000 ETF VSP BY DATE 20201217 (IWM)	Sale	12/23/2020	No	\$1,001 - \$15,000
129	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/11/2020	No	\$250,001 - \$500,000
130	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/11/2020	No	\$250,001 - \$500,000
131	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/17/2020	No	\$100,001 - \$250,000
132	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/17/2020	No	\$250,001 - \$500,000
133	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/23/2020	No	\$15,001 - \$50,000
134	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/29/2020	No	\$100,001 - \$250,000
135	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	12/29/2020	No	\$250,001 - \$500,000
136	ISHARES RUSSELL MID-CAP ETF VSP BY DATE 20201217 (IWR)	Sale	12/23/2020	No	\$1,001 - \$15,000
137	ISHARES SELECT DIVIDEND ETF (DVY)	Purchase	12/30/2020	No	\$1,000,001 - \$5,000,000
138	JOHNSON & JOHNSON (JNJ)	Sale	12/02/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
139	JOHNSON & JOHNSON (JNJ), CLOSED WRITTEN CALL OPTION POSITION		12/17/2020	No	\$1,001 - \$15,000
140	JOHNSON & JOHNSON (JNJ), OPENED WRITTEN CALL OPTION POSITION		12/17/2020	No	\$1,001 - \$15,000
141	KBW REGIONAL BANKING (KRE), OPENED WRITTEN CALL OPTION POSITION		12/03/2020	No	\$1,001 - \$15,000
142	KEYCORP 6.125% SER-E (KEY.I)	Sale	12/21/2020	No	\$1,001 - \$15,000
143	KIMBERLY CLARK CORP (KMB)	Sale	12/30/2020	No	\$50,001 - \$100,000
144	LOCKHEED MARTIN CORP (LMT)	Sale	12/11/2020	No	\$250,001 - \$500,000
145	LYONDELLBASELL NV CL-A (LYB)	Sale	12/10/2020	No	\$15,001 - \$50,000
146	MERCK & CO INC NEW COM (MRK)	Purchase	12/02/2020	No	\$15,001 - \$50,000
147	MOTOROLA SOLUTIONS INC (MSI), CLOSED WRITTEN CALL OPTION POSITION		12/04/2020	No	\$15,001 - \$50,000
148	MOTOROLA SOLUTIONS INC (MSI), OPENED WRITTEN CALL OPTION POSITION		12/04/2020	No	\$15,001 - \$50,000
149	NEXTERA ENERGY INC (NEE)	Sale	12/10/2020	No	\$15,001 - \$50,000
150	NORTHROP GRUMMAN CP(HLDG CO) (NOC)	Purchase	12/18/2020	No	\$50,001 - \$100,000
151	NORTHROP GUMMAN CP (NOC), OPENED WRITTEN CALL OPTION POSITION		12/18/2020	No	\$1,001 - \$15,000
152	NUVEEN S&P 500 BUY-WRITE INC (BXMV)	Purchase	12/11/2020	No	\$250,001 - \$500,000
153	NUVEEN S&P 500 BUY-WRITE INC (BXMV)	Purchase	12/11/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
154	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/17/2020	No	\$100,001 - \$250,000
155	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/17/2020	No	\$250,001 - \$500,000
156	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/23/2020	No	\$1,001 - \$15,000
157	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/23/2020	No	\$15,001 - \$50,000
158	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/29/2020	No	\$100,001 - \$250,000
159	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	12/29/2020	No	\$250,001 - \$500,000
160	PENN NATIONAL GAMING (PENN), CLOSED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$100,001 - \$250,000
161	PENN NATIONAL GAMING (PENN), OPENED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$100,001 - \$250,000
162	PHILIP MORRIS INTL INC (PM)	Sale	12/30/2020	No	\$250,001 - \$500,000
163	PHILLIPS 66 COM (PSX)	Sale	12/30/2020	No	\$50,001 - \$100,000
164	PIMCO ACTIVE BOND ETF (BOND)	Purchase	12/11/2020	No	\$250,001 - \$500,000
165	PIMCO ACTIVE BOND ETF (BOND)	Purchase	12/11/2020	No	\$250,001 - \$500,000
166	PIMCO ACTIVE BOND ETF (BOND)	Purchase	12/17/2020	No	\$100,001 - \$250,000
167	PIMCO ACTIVE BOND ETF (BOND)	Purchase	12/17/2020	No	\$250,001 - \$500,000
168	PIMCO ACTIVE BOND ETF (BOND)	Purchase	12/23/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
169	PIMCO ACTIVE BOND ETF (BOND)		Purchase	12/23/2020	No	\$15,001 - \$50,000
170	PIMCO ACTIVE BOND ETF (BOND)		Purchase	12/29/2020	No	\$250,001 - \$500,000
171	PIMCO ACTIVE BOND ETF (BOND)		Purchase	12/29/2020	No	\$500,001 - \$1,000,000
172	PNC FINL SVCS GP (PNC)		Sale	12/30/2020	No	\$50,001 - \$100,000
173	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)		Purchase	12/02/2020	No	\$1,001 - \$15,000
174	PPL CORPORATION (PPL)		Sale	12/30/2020	No	\$100,001 - \$250,000
175	PROCTER & GAMBLE (PG)		Purchase	12/02/2020	No	\$15,001 - \$50,000
176	PUBLIC STORAGE 3.9% SER-O (PSA.O)		Purchase	12/02/2020	No	\$1,001 - \$15,000
177	REGIONS FINANCIAL CO 6.375%-B (RF.B)		Sale	12/02/2020	No	\$50,001 - \$100,000
178	REGIONS FINANCIAL CORP NEW (RF)		Sale	12/11/2020	No	\$100,001 - \$250,000
179	REGIONS FINANCIAL CORP NEW (RF)	See Endnote	Purchase	12/02/2020	No	\$15,001 - \$50,000
180	REGIONS FINANCIAL CORP NEW (RF)		Sale	12/03/2020	No	\$15,001 - \$50,000
181	REGIONS FINL CORP 6.375%-A (RF.A)		Sale	12/11/2020	No	\$15,001 - \$50,000
182	SCE TRUST VI 5%-L (SCE.L)		Purchase	12/02/2020	No	\$1,001 - \$15,000
183	SEAGATE TECHNOLOGY PLC CALL ASN JAN PRM 00,011,820.33 AS OF DATE: 12/21/2020 (STX)		Sale	12/21/2020	No	\$100,001 - \$250,000
184	SIGNATURE BK NY 5.00% SER-A (SBNYL)		Purchase	12/11/2020	No	\$15,001 - \$50,000
185	SPDR S&P REGIONAL BANKING ETF (KRE)		Purchase	12/03/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
186	SPIRE INC 5.90% SER-A (SR.A)	Sale	12/03/2020	No	\$1,001 - \$15,000
187	U S BANCORP COM NEW (USB)	Sale	12/30/2020	No	\$100,001 - \$250,000
188	UBER TECHNOLOGIES INC (UBER), CLOSED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$50,001 - \$100,000
189	UBER TECHNOLOGIES INC (UBER), OPENED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$50,001 - \$100,000
190	UNITED STATES CELLULAR CORP 5.50% RATE:BA1/BB 5.500% DUE:2070-03-01 (UNHDZ)	Purchase	12/02/2020	No	\$1,001 - \$15,000
191	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/11/2020	No	\$250,001 - \$500,000
192	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/11/2020	No	\$250,001 - \$500,000
193	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/17/2020	No	\$100,001 - \$250,000
194	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/17/2020	No	\$250,001 - \$500,000
195	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/23/2020	No	\$1,001 - \$15,000
196	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/23/2020	No	\$15,001 - \$50,000
197	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/29/2020	No	\$100,001 - \$250,000
198	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	12/29/2020	No	\$250,001 - \$500,000
199	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/11/2020	No	\$250,001 - \$500,000
200	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/11/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
201	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/17/2020	No	\$100,001 - \$250,000
202	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/17/2020	No	\$250,001 - \$500,000
203	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/23/2020	No	\$1,001 - \$15,000
204	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/23/2020	No	\$15,001 - \$50,000
205	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/29/2020	No	\$100,001 - \$250,000
206	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	12/29/2020	No	\$250,001 - \$500,000
207	VANGUARD LARGE CAP ETF (VV)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
208	VANGUARD LARGE CAP ETF (VV)	Purchase	12/11/2020	No	\$500,001 - \$1,000,000
209	VANGUARD LARGE CAP ETF (VV)	Purchase	12/17/2020	No	\$100,001 - \$250,000
210	VANGUARD LARGE CAP ETF (VV)	Purchase	12/17/2020	No	\$500,001 - \$1,000,000
211	VANGUARD LARGE CAP ETF (VV)	Purchase	12/23/2020	No	\$1,001 - \$15,000
212	VANGUARD LARGE CAP ETF (VV)	Purchase	12/23/2020	No	\$15,001 - \$50,000
213	VANGUARD LARGE CAP ETF (VV)	Purchase	12/29/2020	No	\$100,001 - \$250,000
214	VANGUARD LARGE CAP ETF (VV)	Purchase	12/29/2020	No	\$250,001 - \$500,000
215	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/11/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
216	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/11/2020	No	\$250,001 - \$500,000
217	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/17/2020	No	\$100,001 - \$250,000
218	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/17/2020	No	\$250,001 - \$500,000
219	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/23/2020	No	\$15,001 - \$50,000
220	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/29/2020	No	\$1,001 - \$15,000
221	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	12/29/2020	No	\$50,001 - \$100,000
222	VANGUARD MID-CAP ETF INDEX VSP BY DATE 20201217 (VO)	Sale	12/23/2020	No	\$1,001 - \$15,000
223	VANGUARD SMALL CAP ETF (VB)	Purchase	12/11/2020	No	\$250,001 - \$500,000
224	VANGUARD SMALL CAP ETF (VB)	Purchase	12/11/2020	No	\$250,001 - \$500,000
225	VANGUARD SMALL CAP ETF (VB)	Purchase	12/17/2020	No	\$100,001 - \$250,000
226	VANGUARD SMALL CAP ETF (VB)	Purchase	12/17/2020	No	\$250,001 - \$500,000
227	VANGUARD SMALL CAP ETF (VB)	Purchase	12/23/2020	No	\$1,001 - \$15,000
228	VANGUARD SMALL CAP ETF (VB)	Purchase	12/29/2020	No	\$1,001 - \$15,000
229	VANGUARD SMALL CAP ETF (VB)	Purchase	12/29/2020	No	\$50,001 - \$100,000
230	VANGUARD SMALL CAP ETF VSP BY DATE 20201217 (VB)	Sale	12/23/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
231	VERIZON COMMUNICATIONS (VZ)	Sale	12/11/2020	No	\$500,001 - \$1,000,000
232	VODAFONE GROUP PLC (VOD)	Sale	12/30/2020	No	\$100,001 - \$250,000
233	VORNADO REALTY TR 5.25% SER-N (VORTP)	Purchase	12/02/2020	No	\$1,001 - \$15,000
234	WALT DISNEY CO HLDG CO (DIS), CLOSED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$50,001 - \$100,000
235	WALT DISNEY CO HLDG CO (DIS), OPENED WRITTEN CALL OPTION POSITION		12/29/2020	No	\$15,001 - \$50,000
236	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	12/02/2020	No	\$1,001 - \$15,000
237	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)	Purchase	12/02/2020	No	\$1,001 - \$15,000
238	WESCO INTL INC DP SH FXRT PFD (WCC.A)	Purchase	12/02/2020	No	\$1,001 - \$15,000
239	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Sale	12/14/2020	No	\$1,001 - \$15,000
240	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Sale	12/18/2020	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	179	Purchased in error, sold the next day.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 02/04/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 03/17/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 02/22/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/26/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ABBOTT LABORATORIES (ABT), CLOSED WRITTEN CALL OPTION POSITION		01/11/2021	No	\$1,001 - \$15,000
2	ABBOTT LABORATORIES (ABT), OPENED WRITTEN CALL OPTION POSITION		01/11/2021	No	\$1,001 - \$15,000
3	ABBVIE INC COM (ABBV)	Sale	01/25/2021	No	\$15,001 - \$50,000
4	AIR LEASE CORP NON CUM PFD A (AL.A)	Sale	01/07/2021	No	\$1,001 - \$15,000
5	AMERICAN ELEC PWR CO INC (AEP)	Purchase	01/14/2021	No	\$15,001 - \$50,000
6	AMERICAN TOWER REIT COM (AMT)	Purchase	01/26/2021	No	\$15,001 - \$50,000
7	BARRICK GOLD CORP (GOLD), OPENED WRITTEN CALL OPTION POSITION		01/19/2021	No	\$1,001 - \$15,000
8	BROADCOM INC (AVGO)	Purchase	01/26/2021	No	\$1,001 - \$15,000
9	CISCO SYS INC (CSCO)	Sale	01/25/2021	No	\$1,001 - \$15,000
10	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	01/08/2021	No	\$100,001 - \$250,000
11	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	01/08/2021	No	\$100,001 - \$250,000
12	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	01/22/2021	No	\$50,001 - \$100,000
13	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	01/15/2021	No	\$15,001 - \$50,000
14	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	01/22/2021	No	\$1,001 - \$15,000
15	CROWN CASTLE INTL CORP (CCI)	Purchase	01/14/2021	No	\$15,001 - \$50,000
16	DOW INC (DOW), CLOSED WRITTEN CALL OPTION POSITION		01/11/2021	No	\$15,001 - \$50,000
17	DOW INC (DOW), OPENED WRITTEN CALL OPTION POSITION		01/11/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	EATON CORP PLC SHS (ETN)	Sale	01/14/2021	No	\$50,001 - \$100,000
19	EATON VANCE TAX MG CEF (ETY)	Purchase	01/08/2021	No	\$100,001 - \$250,000
20	EATON VANCE TAX MG CEF (ETY)	Purchase	01/08/2021	No	\$100,001 - \$250,000
21	EATON VANCE TAX MG CEF (ETY)	Purchase	01/22/2021	No	\$15,001 - \$50,000
22	EATON VANCE TAX MG CEF (ETY)	Purchase	01/22/2021	No	\$1,001 - \$15,000
23	EXELON CORP (EXC), OPENED WRITTEN CALL OPTION POSITION		01/19/2021	No	\$1,001 - \$15,000
24	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	01/08/2021	No	\$100,001 - \$250,000
25	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	01/08/2021	No	\$100,001 - \$250,000
26	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	01/22/2021	No	\$15,001 - \$50,000
27	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	01/22/2021	No	\$1,001 - \$15,000
28	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	01/22/2021	No	\$15,001 - \$50,000
29	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	01/22/2021	No	\$1,001 - \$15,000
30	GLOBAL X NASD 100 COV CALL VSP BY DATE 20201229 (QYLD)	Sale	01/08/2021	No	\$1,001 - \$15,000
31	GLOBAL X NASD 100 COV CALL VSP BY DATE 20201229 (QYLD)	Sale	01/08/2021	No	\$100,001 - \$250,000
32	HP INC COM (HPQ)	Purchase	01/11/2021	No	\$100,001 - \$250,000
33	HP INC COM (HPQ), OPENED WRITTEN CALL OPTION POSITION		01/11/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
34	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	01/08/2021	No	\$50,001 - \$100,000
35	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	01/08/2021	No	\$15,001 - \$50,000
36	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	01/22/2021	No	\$15,001 - \$50,000
37	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	01/22/2021	No	\$1,001 - \$15,000
38	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	01/08/2021	No	\$50,001 - \$100,000
39	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	01/08/2021	No	\$50,001 - \$100,000
40	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	01/22/2021	No	\$15,001 - \$50,000
41	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	01/22/2021	No	\$1,001 - \$15,000
42	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	01/08/2021	No	\$50,001 - \$100,000
43	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	01/08/2021	No	\$15,001 - \$50,000
44	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	01/22/2021	No	\$15,001 - \$50,000
45	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	01/22/2021	No	\$1,001 - \$15,000
46	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	01/08/2021	No	\$50,001 - \$100,000
47	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	01/08/2021	No	\$15,001 - \$50,000
48	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	01/22/2021	No	\$15,001 - \$50,000
49	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	01/22/2021	No	\$1,001 - \$15,000
50	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	01/08/2021	No	\$100,001 - \$250,000
51	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	01/08/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
52	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	01/22/2021	No	\$50,001 - \$100,000
53	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	01/22/2021	No	\$1,001 - \$15,000
54	ISHARES CORE S&P 500 ETF (IVV)	Purchase	01/08/2021	No	\$250,001 - \$500,000
55	ISHARES CORE S&P 500 ETF (IVV)	Purchase	01/08/2021	No	\$100,001 - \$250,000
56	ISHARES CORE S&P 500 ETF (IVV)	Purchase	01/22/2021	No	\$15,001 - \$50,000
57	ISHARES CORE S&P 500 ETF (IVV)	Purchase	01/15/2021	No	\$1,001 - \$15,000
58	ISHARES CORE S&P 500 ETF (IVV)	Purchase	01/22/2021	No	\$1,001 - \$15,000
59	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	01/08/2021	No	\$50,001 - \$100,000
60	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	01/08/2021	No	\$50,001 - \$100,000
61	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	01/22/2021	No	\$15,001 - \$50,000
62	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	01/22/2021	No	\$1,001 - \$15,000
63	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	01/08/2021	No	\$100,001 - \$250,000
64	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	01/08/2021	No	\$50,001 - \$100,000
65	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	01/22/2021	No	\$15,001 - \$50,000
66	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	01/22/2021	No	\$1,001 - \$15,000
67	LYONDELLBASELL NV CL-A (LYB)	Sale	01/27/2021	No	\$1,001 - \$15,000
68	LYONDELLBASELL NV CL-A (LYB)	Sale	01/26/2021	No	\$15,001 - \$50,000
69	NATIONAL GRID PLC SPON ADR (NGG)	Sale	01/25/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
70	NEXTERA ENERGY INC (NEE)	Sale	01/26/2021	No	\$15,001 - \$50,000
71	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	01/08/2021	No	\$100,001 - \$250,000
72	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	01/08/2021	No	\$100,001 - \$250,000
73	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	01/22/2021	No	\$15,001 - \$50,000
74	NUVEEN S&P 500 BUY-WRITE INC (BXXM)	Purchase	01/22/2021	No	\$1,001 - \$15,000
75	PFIZER INC (PFE), OPENED WRITTEN CALL OPTION POSITION		01/19/2021	No	\$1,001 - \$15,000
76	PIMCO ACTIVE BOND ETF (BOND)	Purchase	01/08/2021	No	\$100,001 - \$250,000
77	PIMCO ACTIVE BOND ETF (BOND)	Purchase	01/08/2021	No	\$100,001 - \$250,000
78	PIMCO ACTIVE BOND ETF (BOND)	Purchase	01/22/2021	No	\$50,001 - \$100,000
79	PIMCO ACTIVE BOND ETF (BOND)	Purchase	01/15/2021	No	\$15,001 - \$50,000
80	PIMCO ACTIVE BOND ETF (BOND)	Purchase	01/22/2021	No	\$1,001 - \$15,000
81	PROCTER & GAMBLE (PG)	Purchase	01/26/2021	No	\$1,001 - \$15,000
82	REALTY INCOME CORP (O)	Purchase	01/26/2021	No	\$1,001 - \$15,000
83	TRUIST FINL CORP (TFC)	Purchase	01/14/2021	No	\$15,001 - \$50,000
84	U.S. BANCORP 4.00% SER-M (USBML)	Purchase	01/27/2021	No	\$1,001 - \$15,000
85	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	Purchase	01/26/2021	No	\$1,001 - \$15,000
86	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	Purchase	01/29/2021	No	\$1,001 - \$15,000
87	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	01/08/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
88	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	01/08/2021	No	\$100,001 - \$250,000
89	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	01/22/2021	No	\$15,001 - \$50,000
90	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	01/22/2021	No	\$1,001 - \$15,000
91	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	01/08/2021	No	\$100,001 - \$250,000
92	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	01/08/2021	No	\$100,001 - \$250,000
93	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	01/22/2021	No	\$15,001 - \$50,000
94	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	01/22/2021	No	\$1,001 - \$15,000
95	VANGUARD LARGE CAP ETF (VV)	Purchase	01/08/2021	No	\$250,001 - \$500,000
96	VANGUARD LARGE CAP ETF (VV)	Purchase	01/08/2021	No	\$250,001 - \$500,000
97	VANGUARD LARGE CAP ETF (VV)	Purchase	01/22/2021	No	\$15,001 - \$50,000
98	VANGUARD LARGE CAP ETF (VV)	Purchase	01/22/2021	No	\$1,001 - \$15,000
99	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	01/08/2021	No	\$50,001 - \$100,000
100	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	01/08/2021	No	\$50,001 - \$100,000
101	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	01/22/2021	No	\$15,001 - \$50,000
102	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	01/15/2021	No	\$1,001 - \$15,000
103	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	01/22/2021	No	\$1,001 - \$15,000
104	VANGUARD SMALL CAP ETF (VB)	Purchase	01/08/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
105	VANGUARD SMALL CAP ETF (VB)	Purchase	01/08/2021	No	\$50,001 - \$100,000
106	VANGUARD SMALL CAP ETF (VB)	Purchase	01/22/2021	No	\$15,001 - \$50,000
107	VANGUARD SMALL CAP ETF (VB)	Purchase	01/15/2021	No	\$1,001 - \$15,000
108	VANGUARD SMALL CAP ETF (VB)	Purchase	01/22/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract,

service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 03/02/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 03/17/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 03/15/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/26/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	3M COMPANY (MMM)	Purchase	02/03/2021	No	\$15,001 - \$50,000
2	AERCAP HOLDINGS NV RATE:BA2/BB+ 5.875% DUE:2079-10-10 AS OF DATE: 02/16/2021 (-)	Purchase	02/16/2021	No	\$100,001 - \$250,000
3	AFFILIATED MANAGERS GR 4.75% RATE:BAA1/BBB- 4.750% DUE:2060-09-30 (MGRB)	Purchase	02/22/2021	No	\$1,001 - \$15,000
4	AIR LEASE CORP NON CUM PFD A (AL.A)	Purchase	02/19/2021	No	\$1,001 - \$15,000
5	ALGONQUIN PWR & UTILS CORP A RATE:N/A/BB+ 6.200% DUE:2079-07-01 (AQNB)	Purchase	02/16/2021	No	\$1,001 - \$15,000
6	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	02/22/2021	No	\$1,001 - \$15,000
7	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER RATE:BAA1/BBB 5.100% DUE:2053-01-15 (ALL.B)	Sale	02/16/2021	No	\$15,001 - \$50,000
8	AMERICAN TOWER REIT COM (AMT)	Purchase	02/22/2021	No	\$15,001 - \$50,000
9	AMERICAN TOWER REIT COM (AMT)	Purchase	02/23/2021	No	\$15,001 - \$50,000
10	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	02/16/2021	No	\$1,001 - \$15,000
11	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	Sale	02/16/2021	No	\$1,001 - \$15,000
12	ATHENE HOLDING LTD 6.35 SER A (ATH.A)	Sale	02/16/2021	No	\$1,001 - \$15,000
13	BANK OF AMERICA COR 4.375%-NN (BAC.O)	Sale	02/25/2021	No	\$1,001 - \$15,000
14	BANK OF AMERICA CORP 6%-GG (BAC.B)	Sale	02/25/2021	No	\$1,001 - \$15,000
15	BANK OF AMERICA CORP 6%-GG (BAC.B)	Sale	02/16/2021	No	\$15,001 - \$50,000
16	BCE INC (BCE)	Purchase	02/01/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	BP CAPITAL MARKETS PLC FXD TO 062030 VAR THRAFTR 4.8750% RATE:A3/BBB 4.875% DUE:2061-02-17 (-)	Purchase	02/17/2021	No	\$50,001 - \$100,000
18	BRISTOL MYERS SQUIBB CO (BMY)	Sale	02/03/2021	No	\$100,001 - \$250,000
19	BROOKFIELD PPTY PARTRS LP 6.37 (BPYPO)	Purchase	02/18/2021	No	\$15,001 - \$50,000
20	CALL 1LIFE HEALTHCARE INC (ONEM), CLOSED WRITTEN CALL OPTION POSITION		02/11/2021	No	\$15,001 - \$50,000
21	CALL 1LIFE HEALTHCARE INC (ONEM), OPENED WRITTEN CALL OPTION POSITION		02/11/2021	No	\$15,001 - \$50,000
22	CALL GENERAL MTRS CO (GM), OPENED WRITTEN CALL OPTION POSITION		02/24/2021	No	\$1,001 - \$15,000
23	CALL INTEL CORP (INTC), CLOSED WRITTEN CALL OPTION POSITION		02/16/2021	No	\$1,001 - \$15,000
24	CALL INTEL CORP (INTC), OPENED WRITTEN CALL OPTION POSITION		02/16/2021	No	\$15,001 - \$50,000
25	CALL MERCK & CO INC (MRK), OPENED WRITTEN CALL OPTION POSITION		02/25/2021	No	\$1,001 - \$15,000
26	CALL ORTHO CLINICAL DIAGNOSTIC (OCDX), OPENED WRITTEN CALL OPTION POSITION		02/22/2021	No	\$15,001 - \$50,000
27	CALL RAYTHEON TECHNOLOGIES CO (RTX), CLOSED WRITTEN CALL OPTION POSITION		02/16/2021	No	\$15,001 - \$50,000
28	CALL RAYTHEON TECHNOLOGIES CO (RTX), OPENED WRITTEN CALL OPTION POSITION		02/16/2021	No	\$15,001 - \$50,000
29	CALL VANECK VECTORS GOLD MINE (GDX), OPENED WRITTEN CALL OPTION POSTION		02/03/2021	No	\$15,001 - \$50,000
30	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	02/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
31	CHARLES SCHWAB CORP/THE FXD TO122030 VAR THRAFTR 4.0000% RATE:BAA2/BBB 4.000% DUE:2061-02-17 (-)	Purchase	02/17/2021	No	\$15,001 - \$50,000
32	CHEVRON CORP (CVX)	Purchase	02/01/2021	No	\$100,001 - \$250,000
33	CHEVRON CORP (CVX)	Purchase	02/01/2021	No	\$100,001 - \$250,000
34	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER (C.N) RATE:BAA3/BB+ 6.581% DUE:2040-10-30 (C.N)	Purchase	02/23/2021	No	\$50,001 - \$100,000
35	CITIGROUP INC 6.875%-K (C.K)	Sale	02/16/2021	No	\$50,001 - \$100,000
36	CITIGROUP INC FXD TO 022023 VAR THRAFTR 5.9000% RATE:BA1/BB+ 5.900% DUE:2061-02-22 (-)	Purchase	02/22/2021	No	\$50,001 - \$100,000
37	CITIGROUP INC FXD TO 082026 VAR THRAFTR 6.2500% RATE:BA1/BB+ 6.250% DUE:2061-02-19 (-)	Purchase	02/19/2021	No	\$100,001 - \$250,000
38	CITIGROUP INC FXD TO 092024 VAR THRAFTR 5.0000% RATE:BA1/BB+ 5.000% DUE:2061-02-17 (-)	Purchase	02/17/2021	No	\$50,001 - \$100,000
39	CITIGRP INC 7.125%-J (C.J)	Sale	02/16/2021	No	\$50,001 - \$100,000
40	CITIZENS FINANCIAL GRP INC FXD TO 042020 VAR THRAFTR 4.1972% RATE:N/A/BB+ 4.197% DUE:2061-02-26 AS OF DATE: 02/25/2021 (-)	Purchase	02/25/2021	No	\$100,001 - \$250,000
41	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	02/01/2021	No	\$250,001 - \$500,000
42	COLUMBIA DIVERSIFIED FXD INC (DIAL)	Purchase	02/01/2021	No	\$15,001 - \$50,000
43	CROWN CASTLE INTL CORP (CCI)	Purchase	02/03/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
44	DIGITAL REALTY TRST 5.850-K (DLR.K)	Sale	02/16/2021	No	\$1,001 - \$15,000
45	DIGITAL REALTY TRST 5.850-K (DLR.K)	Sale	02/25/2021	No	\$1,001 - \$15,000
46	DIGITAL REALTY TRST 5.850-K (DLR.K)	Sale	02/22/2021	No	\$1,001 - \$15,000
47	DIGITAL RLTY TR INC RED SER C (DLR.C)	Sale	02/23/2021	No	\$1,001 - \$15,000
48	DIGITAL RLTY TR INC RED SER C (DLR.C)	Sale	02/16/2021	No	\$15,001 - \$50,000
49	EATON VANCE TAX MG CEF (ETY)	Purchase	02/01/2021	No	\$100,001 - \$250,000
50	EATON VANCE TAX MG CEF (ETY)	Purchase	02/01/2021	No	\$15,001 - \$50,000
51	ELI LILLY & CO CALL ASN APR PRM 00,025,339.43 AS OF DATE: 02/10/2021 (LLY)	Sale	02/10/2021	No	\$100,001 - \$250,000
52	ENBRIDGE INC (ENB)	Purchase	02/01/2021	No	\$100,001 - \$250,000
53	ENBRIDGE INC (ENB)	Purchase	02/03/2021	No	\$15,001 - \$50,000
54	ENBRIDGE INC FXD TO 012027 VARTHRAFTR 6.0000% RATE:BA1/BBB- 6.000% DUE:2077-01- 15 (-)	Purchase	02/19/2021	No	\$100,001 - \$250,000
55	ENBRIDGE INC FXD TO 072030 VARTHRAFTR 5.7500% RATE:BA1/BBB- 5.750% DUE:2080-07- 15 (-)	Purchase	02/17/2021	No	\$15,001 - \$50,000
56	ENSTAR FINANCE LLC FXD TO 092025 VAR THRAFTR 5.7500% RATE:N/A/BB+ 5.750% DUE:2040-09-01 (-)	Purchase	02/17/2021	No	\$15,001 - \$50,000
57	EVERSOURCE ENERGY COM (ES)	Purchase	02/22/2021	No	\$15,001 - \$50,000
58	EXXON MOBIL CORP (XOM)	Purchase	02/01/2021	No	\$100,001 - \$250,000
59	EXXON MOBIL CORP (XOM)	Purchase	02/03/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
60	F N B CORP FLA DEP SHS REPSTG (FNB.E)	Sale	02/22/2021	No	\$15,001 - \$50,000
61	F N B CORP FLA DEP SHS REPSTG (FNB.E)	Sale	02/16/2021	No	\$15,001 - \$50,000
62	FARMLAND PARTNERS INC 6%-B (FPI.B)	Sale	02/16/2021	No	\$1,001 - \$15,000
63	FARMLAND PARTNERS INC 6%-B (FPI.B)	Sale	02/23/2021	No	\$15,001 - \$50,000
64	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	02/22/2021	No	\$1,001 - \$15,000
65	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	02/16/2021	No	\$50,001 - \$100,000
66	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	02/01/2021	No	\$100,001 - \$250,000
67	FT-PREFERRED SECUR & INC ETF (FPE)	Purchase	02/01/2021	No	\$15,001 - \$50,000
68	GENERAL MTRS CO (GM)	Purchase	02/24/2021	No	\$100,001 - \$250,000
69	GILEAD SCIENCE (GILD)	Purchase	02/01/2021	No	\$250,001 - \$500,000
70	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	02/01/2021	No	\$100,001 - \$250,000
71	GLOBAL X NASD 100 COV CALL (QYLD)	Purchase	02/01/2021	No	\$15,001 - \$50,000
72	GLOBE LIFE INC 6.125 RATE:BAA2/BBB+ 6.125% DUE:2056-06-15 (GL.C)	Sale	02/22/2021	No	\$1,001 - \$15,000
73	GLOBE LIFE INC 6.125 RATE:BAA2/BBB+ 6.125% DUE:2056-06-15 (GL.C)	Sale	02/16/2021	No	\$15,001 - \$50,000
74	GOLDMAN SACHS GROUP INC/THE RATE:BA1/BB 4.950% DUE:2061-02-22	Purchase	02/22/2021	No	\$50,001 - \$100,000
75	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	02/16/2021	No	\$50,001 - \$100,000
76	HUNTINGTON BANCSHAR 4.5%SER-H (HBANL)	Purchase	02/03/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
77	HUNTINGTON BANCSHAR 4.5%SER-H (HBANP)	Sale	02/25/2021	No	\$1,001 - \$15,000
78	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	Sale	02/25/2021	No	\$1,001 - \$15,000
79	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	Sale	02/22/2021	No	\$1,001 - \$15,000
80	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	Sale	02/16/2021	No	\$15,001 - \$50,000
81	INTEGRYS ENERGY GRP INC 6.00% FXD TO 8/1/23 FLTS THEREAFTER RATE:BAA2/BBB 6.000% DUE:2073-08-01 (IEHAZ)	Purchase	02/17/2021	No	\$50,001 - \$100,000
82	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	02/01/2021	No	\$50,001 - \$100,000
83	INVESCO BULLETSHARES 2022 MU (BSMM)	Purchase	02/01/2021	No	\$1,001 - \$15,000
84	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	02/01/2021	No	\$50,001 - \$100,000
85	INVESCO BULLETSHARES 2023 MU (BSMN)	Purchase	02/01/2021	No	\$1,001 - \$15,000
86	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	02/01/2021	No	\$50,001 - \$100,000
87	INVESCO BULLETSHARES 2024 MU (BSMO)	Purchase	02/01/2021	No	\$1,001 - \$15,000
88	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	02/01/2021	No	\$50,001 - \$100,000
89	INVESCO BULLETSHARES 2025 MU (BSMP)	Purchase	02/01/2021	No	\$1,001 - \$15,000
90	ISHARES CORE HIGH DIVIDEND ETF (HDV)	Sale	02/01/2021	No	\$500,001 - \$1,000,000
91	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	02/01/2021	No	\$250,001 - \$500,000
92	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	02/01/2021	No	\$15,001 - \$50,000
93	ISHARES CORE S&P 500 ETF (IVV)	Purchase	02/01/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
94	ISHARES CORE S&P 500 ETF (IVV)	Purchase	02/01/2021	No	\$15,001 - \$50,000
95	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	02/01/2021	No	\$100,001 - \$250,000
96	ISHARES RUSSELL 2000 ETF (IWM)	Purchase	02/01/2021	No	\$15,001 - \$50,000
97	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	02/01/2021	No	\$100,001 - \$250,000
98	ISHARES RUSSELL MID-CAP ETF (IWR)	Purchase	02/01/2021	No	\$15,001 - \$50,000
99	ISHARES SELECT DIVIDEND ETF (DVP)	Sale	02/01/2021	No	\$1,000,001 - \$5,000,000
100	KIMBERLY CLARK CORP (KMB)	Purchase	02/01/2021	No	\$50,001 - \$100,000
101	MERCK & CO INC NEW COM (MRK)	Purchase	02/25/2021	No	\$100,001 - \$250,000
102	MORGAN STANLEY 6.875%-F (MS.F)	Sale	02/16/2021	No	\$50,001 - \$100,000
103	MORGAN STANLEY 7.125%-E (MS.E)	Sale	02/22/2021	No	\$1,001 - \$15,000
104	MORGAN STANLEY 7.125%-E (MS.E)	Sale	02/16/2021	No	\$15,001 - \$50,000
105	NEXTERA ENERGY INC (NEE)	Sale	02/22/2021	No	\$15,001 - \$50,000
106	NUVEEN S&P 500 BUY-WRITE INC (BXM)	Purchase	02/01/2021	No	\$100,001 - \$250,000
107	NUVEEN S&P 500 BUY-WRITE INC (BXM)	Purchase	02/01/2021	No	\$15,001 - \$50,000
108	ORTHO CLINICAL DIAGNOSTICS HOL (OCDX)	Purchase	02/22/2021	No	\$100,001 - \$250,000
109	PARTNERRE FINANCE B LLC FXD TO102030 VAR THRAFTR 4.5000% RATE:BAA1/BBB 4.500% DUE:2050-10-01	Purchase	02/17/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
110	PARTNERRE LTD 6.50% RED PFD G (PRE.G)	Sale	02/23/2021	No	\$1,001 - \$15,000
111	PARTNERRE LTD 6.50% RED PFD G (PRE.G)	Sale	02/16/2021	No	\$1,001 - \$15,000
112	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Sale	02/16/2021	No	\$100,001 - \$250,000
113	PENSKE AUTO GP INC CALL ASN FEB PRM 00,020,615.54 AS OF DATE: 02/08/2021 (PAG)	Sale	02/08/2021	No	\$100,001 - \$250,000
114	PHILIP MORRIS INTL INC (PM)	Purchase	02/01/2021	No	\$250,001 - \$500,000
115	PHILLIPS 66 COM (PSX)	Purchase	02/01/2021	No	\$50,001 - \$100,000
116	PIMCO ACTIVE BOND ETF (BOND)	Purchase	02/01/2021	No	\$250,001 - \$500,000
117	PIMCO ACTIVE BOND ETF (BOND)	Purchase	02/01/2021	No	\$15,001 - \$50,000
118	PINNACLE FIN PRTNRS 6.75%-B (PNFPP)	Purchase	02/16/2021	No	\$1,001 - \$15,000
119	PNC FINL SVCS GP (PNC)	Purchase	02/01/2021	No	\$50,001 - \$100,000
120	PNC FINL SVCS GP (PNC)	Sale	02/23/2021	No	\$50,001 - \$100,000
121	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)	Sale	02/16/2021	No	\$50,001 - \$100,000
122	PPL CORPORATION (PPL)	Purchase	02/01/2021	No	\$100,001 - \$250,000
123	PRUDENTIAL FINANCIAL INC RATE:BAA1/BBB+ 4.125% DUE:2060-09-01 (PFH)	Purchase	02/16/2021	No	\$1,001 - \$15,000
124	QUALCOMM INC (QCOM)	Purchase	02/01/2021	No	\$15,001 - \$50,000
125	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)	Sale	02/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
126	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)	Sale	02/26/2021	No	\$15,001 - \$50,000
127	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	02/03/2021	No	\$1,001 - \$15,000
128	SCE TRUST IV 5.375%-J (SCE.J)	Purchase	02/18/2021	No	\$15,001 - \$50,000
129	SEMPRA ENERGY (SRE)	Purchase	02/23/2021	No	\$15,001 - \$50,000
130	STATE ST CO 5.90%-D FXD-TO-FLT (STT.D)	Sale	02/22/2021	No	\$1,001 - \$15,000
131	STATE ST CO 5.90%-D FXD-TO-FLT (STT.D)	Sale	02/16/2021	No	\$15,001 - \$50,000
132	STATE STREET CORP 5.350%-G (STT.G)	Sale	02/16/2021	No	\$15,001 - \$50,000
133	STATE STREET CORP 5.350%-G (STT.G)	Sale	02/26/2021	No	\$15,001 - \$50,000
134	STATE STREET CORP 5.350%-G (STT.G)	Sale	02/22/2021	No	\$15,001 - \$50,000
135	STIFEL FINANCIAL CORP 6.25% (SF.A)	Sale	02/16/2021	No	\$15,001 - \$50,000
136	THE SOUTHERN CO 4.20% RATE:BAA3/BBB 4.200% DUE:2060-10-15 (SOJE)	Purchase	02/16/2021	No	\$1,001 - \$15,000
137	TRUIST FINL CORP (TFC)	Purchase	02/03/2021	No	\$15,001 - \$50,000
138	U S BANCORP COM NEW (USB)	Purchase	02/01/2021	No	\$100,001 - \$250,000
139	U.S. BANCORP 4.00% SER-M (USB.R)	Purchase	02/08/2021	No	\$1,001 - \$15,000
140	UNILEVER PLC (NEW) ADS (UL)	Purchase	02/23/2021	No	\$15,001 - \$50,000
141	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	Sale	02/16/2021	No	\$1,001 - \$15,000
142	VANECK VECTORS GOLD MINERS E (GDV)	Purchase	02/03/2021	No	\$100,001 - \$250,000
143	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	02/01/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
144	VANGUARD FTSE ALL WO X-US SC (VSS)	Purchase	02/01/2021	No	\$15,001 - \$50,000
145	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	02/01/2021	No	\$100,001 - \$250,000
146	VANGUARD FTSE DEVELOPED MKTS E (VEA)	Purchase	02/01/2021	No	\$15,001 - \$50,000
147	VANGUARD LARGE CAP ETF (VV)	Purchase	02/01/2021	No	\$100,001 - \$250,000
148	VANGUARD LARGE CAP ETF (VV)	Purchase	02/01/2021	No	\$15,001 - \$50,000
149	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	02/01/2021	No	\$100,001 - \$250,000
150	VANGUARD MID-CAP ETF INDEX (VO)	Purchase	02/01/2021	No	\$15,001 - \$50,000
151	VANGUARD SMALL CAP ETF (VB)	Purchase	02/01/2021	No	\$100,001 - \$250,000
152	VANGUARD SMALL CAP ETF (VB)	Purchase	02/01/2021	No	\$15,001 - \$50,000
153	VODAFONE GROUP PLC (VOD)	Purchase	02/01/2021	No	\$100,001 - \$250,000
154	WASHINGTON FED 4.875% SER-A (WSHAP)	Purchase	02/02/2021	No	\$1,001 - \$15,000
155	WEC ENERGY GROUP INC COM (WEC)	Sale	02/22/2021	No	\$1,001 - \$15,000
156	WEC ENERGY GROUP INC COM (WEC)	Sale	02/23/2021	No	\$15,001 - \$50,000
157	WELLS FARGO & CO 5.5% SER-X (WFC.X)	Sale	02/16/2021	No	\$15,001 - \$50,000
158	WELLS FARGO & CO 5.7%-W (WFC.W)	Sale	02/16/2021	No	\$1,001 - \$15,000
159	WELLS FARGO & CO FXD TO 032026VAR THRAFTR 3.9000% RATE:BAA2/BB+ 3.900% DUE:2061-02-17 (-)	Purchase	02/17/2021	No	\$15,001 - \$50,000
160	WELLS FARGO & COMPANY 6.625%-R (WFC.R)	Sale	02/26/2021	No	\$15,001 - \$50,000
161	WELLS FARGO & COMPANY 6.625%-R (WFC.R)	Sale	02/16/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
162	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)	Sale	02/16/2021	No	\$15,001 - \$50,000
163	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)	Sale	02/23/2021	No	\$15,001 - \$50,000
164	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)	Purchase	02/16/2021	No	\$100,001 - \$250,000
165	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Sale	02/16/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract,

service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 04/02/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 05/06/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 04/28/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/31/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ABBVIE INC COM (ABBV)	Sale	03/05/2021	No	\$1,001 - \$15,000
2	AFFILIATED MANAGERS GROUP INC 5.875% RATE:BAA1/BBB- 5.875% DUE:2059-03-30 (MGR)	Sale	03/15/2021	No	\$15,001 - \$50,000
3	AFFILIATED MANAGERS GROUP INC 5.875% RATE:BAA1/BBB- 5.875% DUE:2059-03-30 (MGR)	Sale	03/16/2021	No	\$1,001 - \$15,000
4	AIR LEASE CORP NON CUM PFD A (AL.A)	Purchase	03/04/2021	No	\$1,001 - \$15,000
5	ALLSTATE CORP 5.100 H (ALL.H)	Sale	03/16/2021	No	\$1,001 - \$15,000
6	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Sale	03/01/2021	No	\$1,001 - \$15,000
7	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Sale	03/02/2021	No	\$1,001 - \$15,000
8	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Sale	03/03/2021	No	\$1,001 - \$15,000
9	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Sale	03/04/2021	No	\$1,001 - \$15,000
10	AMERICAN TOWER REIT COM (AMT)	Purchase	03/18/2021	No	\$1,001 - \$15,000
11	ANNALY CAP MGMNT 6.95%-F (NLY.F)	Purchase	03/01/2021	No	\$15,001 - \$50,000
12	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	03/09/2021	No	\$15,001 - \$50,000
13	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	03/10/2021	No	\$15,001 - \$50,000
14	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	03/11/2021	No	\$1,001 - \$15,000
15	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	03/16/2021	No	\$15,001 - \$50,000
16	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Sale	03/17/2021	No	\$1,001 - \$15,000
17	ASSURANT INC FXD TO 032028 VARTHRAFTR 7.0000% RATE:BA1/BB+ 7.000% DUE:2048-03-27 (-)	Purchase	03/11/2021	No	\$100,001 - \$250,000
18	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Sale	03/15/2021	No	\$1,001 - \$15,000
19	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Sale	03/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
20	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Sale	03/17/2021	No	\$1,001 - \$15,000
21	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Sale	03/18/2021	No	\$1,001 - \$15,000
22	BANK OF AMERICA CORP FXD TO 092024 VAR THRAFTR 6.2500% RATE:BAA3/BBB- 6.250% DUE:2061-03-09	Purchase	03/08/2021	No	\$100,001 - \$250,000
23	BEST BUY CO (BBY)	Purchase	03/22/2021	No	\$50,001 - \$100,000
24	BK OF AMERICA CO 5.875% SER-HH (BAC.K)	Sale	03/15/2021	No	\$1,001 - \$15,000
25	BROADCOM INC (AVGO)	Purchase	03/09/2021	No	\$15,001 - \$50,000
26	BROOKFIELD PPTY PARTRS LP 6.37 (BPYPO)	Purchase	03/02/2021	No	\$1,001 - \$15,000
27	CALL BLACKROCK INC CL A (BLK), CLOSED WRITTEN CALL OPTION POSITION		03/02/2021	No	\$50,001 - \$100,000
28	CALL BLACKROCK INC CL A (BLK), OPENED WRITTEN CALL OPTION POSITION		03/02/2021	No	\$15,001 - \$50,000
29	CALL GILEAD SCIENCE (GILD), OPENED WRITTEN CALL OPTION POSITION		03/15/2021	No	\$1,001 - \$15,000
30	CALL LAS VEGAS SANDS CORPORATION (LVS), OPENED WRITTEN CALL OPTION POSITION		03/22/2021	No	\$15,001 - \$50,000
31	CALL ROCKET COS INC CL A (RKT), OPENED WRITTEN CALL OPTION POSITION		03/22/2021	No	\$15,001 - \$50,000
32	CDN IMPL BK COMMERCE (CM)	Sale	03/05/2021	No	\$15,001 - \$50,000
33	CDN IMPL BK COMMERCE (CM)	Sale	03/26/2021	No	\$15,001 - \$50,000
34	CENTERPOINT ENERGY INC FXD TO 092023 VAR THRAFTR 6.1250% RATE:BA1/BBB- 6.125% DUE:2061-03-04 (-)	Purchase	03/04/2021	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
35	CHARLES SCHWAB CORP/THE FXD TO062026 VAR THRAFTR 4% RATE:N/A/BBB 4.000% DUE:2061-03-15 (-)	Purchase	03/15/2021	No	\$50,001 - \$100,000
36	CHARLES SCHWAB CORP/THE FXD TO122030 VAR THRAFTR 4.0000% RATE:BAA2/BBB 4.000% DUE:2061-03-23 (-)	Sale	03/23/2021	No	\$15,001 - \$50,000
37	CHEVRON CORP (CVX)	Sale	03/05/2021	No	\$1,001 - \$15,000
38	CHS INC (CHSCN)	Sale	03/12/2021	No	\$15,001 - \$50,000
39	CHS INC (CHSCN)	Sale	03/15/2021	No	\$1,001 - \$15,000
40	CHS INC PERPETUAL PRF CL B (CHSCO)	Sale	03/03/2021	No	\$1,001 - \$15,000
41	CHS INC/RED PFD CL B S 4 (CHSCL)	Sale	03/17/2021	No	\$15,001 - \$50,000
42	CHS INC/RED PFD CL B S 4 (CHSCL)	Sale	03/18/2021	No	\$1,001 - \$15,000
43	CISCO SYS INC (CSCO)	Sale	03/05/2021	No	\$1,001 - \$15,000
44	CITIGROUP INC 6.875%-K (C.K)	Sale	03/02/2021	No	\$50,001 - \$100,000
45	CITIGROUP INC FXD TO 122025 VAR THRAFTR 4.0000% RATE:BA1/BB+ 4.000% DUE:2061-03-01 (-)	Purchase	03/01/2021	No	\$50,001 - \$100,000
46	CITIGRP INC 7.125%-J (C.J)	Sale	03/08/2021	No	\$15,001 - \$50,000
47	CITIZENS FIN GROUP 6.35%-D (CFG.D)	Sale	03/04/2021	No	\$15,001 - \$50,000
48	CITIZENS FIN GROUP 6.35%-D (CFG.D)	Sale	03/05/2021	No	\$15,001 - \$50,000
49	CITIZENS FINANCIAL GROUP INC FXD TO 102025 VAR THRAFTR 5.65% RATE:N/A/BB+ 5.650% DUE:2061-03-22 (-)	Purchase	03/22/2021	No	\$50,001 - \$100,000
50	CMS ENERGY CORP 5.875% RATE:BAA2/BBB- 5.875% DUE:2078-10-15 (CMSC)	Sale	03/08/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
51	CMS ENERGY CORP 5.875% RATE:BAA2/BBB-5.875% DUE:2078-10-15 (CMSC)	Sale	03/09/2021	No	\$1,001 - \$15,000
52	CMS ENERGY CORP 5.875% RATE:BAA2/BBB-5.875% DUE:2078-10-15 (CMSC)	Sale	03/10/2021	No	\$1,001 - \$15,000
53	CMS ENERGY CORP 5.875% RATE:BAA2/BBB-5.875% DUE:2079-03-01 (CMSD)	Sale	03/16/2021	No	\$15,001 - \$50,000
54	COCA COLA CO (KO)	Sale	03/09/2021	No	\$100,001 - \$250,000
55	CROWN CASTLE INTL CORP (CCI)	Purchase	03/05/2021	No	\$15,001 - \$50,000
56	DIGITAL REALTY TRUST INC (DLR)	Purchase	03/05/2021	No	\$50,001 - \$100,000
57	EMERA INC FXD TO 062026 VAR THRAFTR 6.7500% RATE:BA2/BB+ 6.750% DUE:2076-06-15 (-)	Purchase	03/02/2021	No	\$100,001 - \$250,000
58	ENBRIDGE INC 6.375%-B RATE:BA1/BBB-6.375% DUE:2078-04-15 (ENBA)	Sale	03/08/2021	No	\$1,001 - \$15,000
59	ENBRIDGE INC 6.375%-B RATE:BA1/BBB-6.375% DUE:2078-04-15 (ENBA)	Sale	03/09/2021	No	\$1,001 - \$15,000
60	ENBRIDGE INC 6.375%-B RATE:BA1/BBB-6.375% DUE:2078-04-15 (ENBA)	Sale	03/11/2021	No	\$15,001 - \$50,000
61	ENBRIDGE INC 6.375%-B RATE:BA1/BBB-6.375% DUE:2078-04-15 (ENBA)	Sale	03/15/2021	No	\$15,001 - \$50,000
62	ENBRIDGE INC 6.375%-B RATE:BA1/BBB-6.375% DUE:2078-04-15 (ENBA)	Sale	03/16/2021	No	\$1,001 - \$15,000
63	ENBRIDGE INC FXD TO 032028 VARTHRAFTR 6.2500% RATE:BA1/BBB- 6.250% DUE:2078-03-01 (-)	Purchase	03/16/2021	No	\$50,001 - \$100,000
64	F N B CORP FLA DEP SHS REPSTG (FNB.E)	Sale	03/08/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
65	F N B CORP FLA DEP SHS REPSTG (FNB.E)	Sale	03/10/2021	No	\$1,001 - \$15,000
66	FIFTH THIRD BANCORP 6% NCUM PF (FITBP)	Purchase	03/11/2021	No	\$15,001 - \$50,000
67	GILEAD SCIENCE (GILD)	Sale	03/05/2021	No	\$1,001 - \$15,000
68	GILEAD SCIENCE (GILD)	Purchase	03/15/2021	No	\$100,001 - \$250,000
69	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	Sale	03/01/2021	No	\$100,001 - \$250,000
70	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	Sale	03/02/2021	No	\$1,001 - \$15,000
71	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	03/01/2021	No	\$1,001 - \$15,000
72	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	03/02/2021	No	\$1,001 - \$15,000
73	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	03/03/2021	No	\$15,001 - \$50,000
74	HUNTINGTON BANCSHARES (HBAN)	Sale	03/05/2021	No	\$15,001 - \$50,000
75	JOHNSON & JOHNSON (JNJ)	Sale	03/22/2021	No	\$50,001 - \$100,000
76	KEYCORP 6.125% SER-E (KEY.I)	Sale	03/11/2021	No	\$1,001 - \$15,000
77	KEYCORP 6.125% SER-E (KEY.I)	Sale	03/15/2021	No	\$15,001 - \$50,000
78	MARKEL CORP FXD TO 062025 VAR THRAFTR 6.0000% RATE:BA1/BB+ 6.000% DUE:2061-03-31 (-)	Purchase	03/31/2021	No	\$50,001 - \$100,000
79	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/01/2021	No	\$1,001 - \$15,000
80	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/03/2021	No	\$1,001 - \$15,000
81	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/05/2021	No	\$15,001 - \$50,000
82	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/08/2021	No	\$15,001 - \$50,000
83	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/09/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
84	MORGAN STANLEY 6.375%-I (MS.I)	Sale	03/10/2021	No	\$1,001 - \$15,000
85	MORGAN STANLEY 6.875%-F (MS.F)	Sale	03/01/2021	No	\$50,001 - \$100,000
86	NATIONAL GRID PLC SPON ADR (NGG)	Sale	03/05/2021	No	\$1,001 - \$15,000
87	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	03/16/2021	No	\$1,001 - \$15,000
88	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	03/17/2021	No	\$1,001 - \$15,000
89	NISOURCE INC 6.5% DEPSHS PFDB (NI.B)	Purchase	03/30/2021	No	\$15,001 - \$50,000
90	NUSTAR LOGISTICS LP 7.625% FIXED TO 1/15/18 FLTS THERAFTER RATE:B2/B 6.975% DUE:2043-01-15 (NSS)	Purchase	03/05/2021	No	\$1,001 - \$15,000
91	NUSTAR LOGISTICS LP 7.625% FIXED TO 1/15/18 FLTS THERAFTER RATE:B2/B 6.975% DUE:2043-01-15 (NSS)	Purchase	03/11/2021	No	\$1,001 - \$15,000
92	NUSTAR LOGISTICS LP 7.625% FIXED TO 1/15/18 FLTS THERAFTER RATE:B2/B 6.975% DUE:2043-01-15 (NSS)	Purchase	03/29/2021	No	\$1,001 - \$15,000
93	NUSTAR LOGISTICS LP 7.625% FIXED TO 1/15/18 FLTS THERAFTER RATE:B2/B 6.975% DUE:2043-01-15 (NSS)	Purchase	03/30/2021	No	\$1,001 - \$15,000
94	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	03/10/2021	No	\$15,001 - \$50,000
95	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	03/11/2021	No	\$1,001 - \$15,000
96	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	03/15/2021	No	\$1,001 - \$15,000
97	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	03/16/2021	No	\$1,001 - \$15,000
98	PEPSICO INC NC (PEP)	Sale	03/05/2021	No	\$15,001 - \$50,000
99	PFIZER INC (PFE)	Sale	03/05/2021	No	\$15,001 - \$50,000
100	PHILIP MORRIS INTL INC (PM)	Sale	03/05/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
101	PRINCIPAL FINANCIAL GROUP INC FXD TO 052020 VAR THFTR 3.242% RATE:BAA2/BBB 3.241% DUE:2055-05-15 (-)	Purchase	03/22/2021	No	\$15,001 - \$50,000
102	PROCTER & GAMBLE (PG)	Purchase	03/09/2021	No	\$50,001 - \$100,000
103	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Sale	03/09/2021	No	\$1,001 - \$15,000
104	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Sale	03/10/2021	No	\$1,001 - \$15,000
105	REALTY INCOME CORP (O)	Purchase	03/09/2021	No	\$1,001 - \$15,000
106	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	03/15/2021	No	\$15,001 - \$50,000
107	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	03/16/2021	No	\$15,001 - \$50,000
108	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	03/17/2021	No	\$1,001 - \$15,000
109	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	03/23/2021	No	\$50,001 - \$100,000
110	REINSURANCE GRP OF AMERICA RATE:BAA2/BBB+ 5.750% DUE:2056-06-15 (RZB)	Sale	03/25/2021	No	\$15,001 - \$50,000
111	SOUTH JERSEY INDUSTRIES INC RATE:N/A/BBB- 5.020% DUE:2031-04-15 AS OF DATE: 03/26/2021 (-)	Purchase	03/26/2021	No	\$15,001 - \$50,000
112	SOUTHERN CALIFORNIA EDISON CO FXD TO 022022 VAR THRFTR 6.25% RATE:BA1/BB+ 6.250% DUE:2061-03-16 (-)	Purchase	03/16/2021	No	\$50,001 - \$100,000
113	SOUTHERN CO FXD TO 032022 VAR THRAFTR 5.5000% RATE:BAA3/BBB 5.500% DUE:2057-03- 15 (-)	Purchase	03/30/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
114	TC ENERGY CORP (TRP)	Sale	03/05/2021	No	\$1,001 - \$15,000
115	TRANSCANADA TRUST FXD TO 082026 VAR THRAFTR 5.8750% RATE:BAA3/BBB- 5.875% DUE:2076-08-15 (-)	Purchase	03/02/2021	No	\$100,001 - \$250,000
116	TRUIST FINANCIAL CORP 5.25%-O (TFC.O)	Sale	03/17/2021	No	\$1,001 - \$15,000
117	TRUIST FINANCIAL CORP 5.25%-O (TFC.O)	Sale	03/30/2021	No	\$15,001 - \$50,000
118	U S BANCORP COM NEW (USB)	Purchase	03/05/2021	No	\$50,001 - \$100,000
119	U S BANCORP COM NEW (USB)	Purchase	03/26/2021	No	\$15,001 - \$50,000
120	VIACOMCBS INC CL B CALL ASN JUN PRM 00,015,224.66 AS OF DATE: 03/10/2021 (VIAC)	Sale	03/10/2021	No	\$50,001 - \$100,000
121	VIACOMCBS INC CL B CALL ASN JUN PRM 00,021,923.51 AS OF DATE: 03/11/2021 (VIAC)	Sale	03/11/2021	No	\$50,001 - \$100,000
122	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Sale	03/05/2021	No	\$15,001 - \$50,000
123	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Sale	03/09/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 05/04/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 05/06/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 05/05/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/31/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ALGONQUIN POWER & UTILITIES CORP 6.875%-A RATE:N/A/BB+ 6.875% DUE:2078-10-17 (AQNA)	Purchase	04/09/2021	No	\$1,001 - \$15,000
2	ALLSTATE CORP 5.100 H (ALL.H)	Sale	04/06/2021	No	\$15,001 - \$50,000
3	ALLSTATE CORP 5.100 H (ALL.H)	Sale	04/01/2021	No	\$1,001 - \$15,000
4	AMERICAN FINANCIAL GROUP 5.625% RATE:BAA2/BBB- 5.625% DUE:2060-06-01 (AFGD)	Sale	04/05/2021	No	\$1,001 - \$15,000
5	AMERICAN FINANCIAL GROUP 5.625% RATE:BAA2/BBB- 5.625% DUE:2060-06-01 (AFGD)	Sale	04/01/2021	No	\$1,001 - \$15,000
6	AMERICAN HOMES 4 RENT 6.25%-H (AMH.H)	Sale	04/08/2021	No	\$1,001 - \$15,000
7	AMGEN INC (AMGN)	Sale	04/26/2021	No	\$1,001 - \$15,000
8	ASSURANT INC 5.25% CAL NT 61 RATE:BA1/BB+ 5.250% DUE:2061-01-15 (AIZN)	Sale	04/09/2021	No	\$15,001 - \$50,000
9	ATHENE HOLDING LTD 6.375%-C (ATH.C)	Sale	04/08/2021	No	\$1,001 - \$15,000
10	BANK OF AMERICA CO 5.00 SER LL (BAC.N)	Sale	04/09/2021	No	\$1,001 - \$15,000
11	BANK OF AMERICA CO 5.00 SER LL (BAC.N)	Sale	04/08/2021	No	\$15,001 - \$50,000
12	BEST BUY CO (BBY)	Purchase	04/21/2021	No	\$50,001 - \$100,000
13	BEST BUY CO (BBY)	Purchase	04/08/2021	No	\$15,001 - \$50,000
14	BEST BUY CO (BBY)	Purchase	04/07/2021	No	\$15,001 - \$50,000
15	BROOKFIELD BRP HLDNGS 4.625% (BEPH)	Purchase	04/19/2021	No	\$1,001 - \$15,000
16	BROOKFIELD BRP HLDNGS 4.625% (BEPH)	Purchase	04/19/2021	No	\$1,001 - \$15,000
17	BROOKFIELD BRP HLDNGS 4.625% (BEPH)	Purchase	04/16/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	CHEVRON CORP (CVX)	Purchase	04/20/2021	No	\$15,001 - \$50,000
19	CHS INC (CHSCN)	Sale	04/26/2021	No	\$1,001 - \$15,000
20	CHS INC (CHSCN)	Sale	04/23/2021	No	\$1,001 - \$15,000
21	CHS INC (CHSCN)	Sale	04/08/2021	No	\$1,001 - \$15,000
22	CHS INC (CHSCN)	Sale	04/07/2021	No	\$15,001 - \$50,000
23	CHS INC (CHSCN)	Sale	04/06/2021	No	\$1,001 - \$15,000
24	CHS INC/RED PFD CL B S 4 (CHSCL)	Sale	04/09/2021	No	\$15,001 - \$50,000
25	CISCO SYS INC (CSCO)	Sale	04/20/2021	No	\$50,001 - \$100,000
26	DIGITAL RLTY TST INC 5.20%SERL (DLR.L)	Sale	04/05/2021	No	\$15,001 - \$50,000
27	DUKE ENERGY CORP FXD TO 092024VAR THRAFTR 4.8750% RATE:BA1/BBB- 4.875% DUE:2061-04-05 (-)	Purchase	04/05/2021	No	\$50,001 - \$100,000
28	GLOBAL NET LEASE INC PFD SER A (GNL.A)	Sale	04/08/2021	No	\$1,001 - \$15,000
29	GOLDMAN SACHS GROUP INC/THE FXD TO 052026 VAR THRAFTR 3.8% RATE:BA1/BB 3.800% DUE:2061-04-20 (-)	Purchase	04/20/2021	No	\$50,001 - \$100,000
30	HOME DEPOT INC (HD)	Sale	04/07/2021	No	\$50,001 - \$100,000
31	MERCK & CO INC NEW COM (MRK)	Purchase	04/20/2021	No	\$15,001 - \$50,000
32	MICROSOFT CORP FIXED RATE NOTE	Sale	04/28/2021	No	\$15,001 - \$50,000
33	MORGAN STANLEY 5.85%-K (MS.K)	Sale	04/08/2021	No	\$1,001 - \$15,000
34	PFIZER INC (PFE)	Purchase	04/20/2021	No	\$15,001 - \$50,000
35	PNC FINL SVCS GP (PNC)	Sale	04/20/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
36	PS BUSINESS PARKS INC 4.875%-Z (PSB.Z)	Sale	04/05/2021	No	\$1,001 - \$15,000
37	PUBLIC SERVICE ENTERPRISE GP (PEG)	Sale	04/26/2021	No	\$1,001 - \$15,000
38	PUBLIC STORAGE 3.9% SER-O (PSA.O)	Sale	04/05/2021	No	\$1,001 - \$15,000
39	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	04/01/2021	No	\$1,001 - \$15,000
40	STARBUCKS CORP WASHINGTON (SBUX)	Sale	04/20/2021	No	\$50,001 - \$100,000
41	TCF FINANCIAL CORPORATION NEW (TCFCP)	Sale	04/23/2021	No	\$1,001 - \$15,000
42	THE SOUTHERN CO 4.95% SER-A RATE:BAA3/BBB 4.950% DUE:2080-01-30 (SOJD)	Sale	04/30/2021	No	\$1,001 - \$15,000
43	THE SOUTHERN CO 4.95% SER-A RATE:BAA3/BBB 4.950% DUE:2080-01-30 (SOJD)	Sale	04/26/2021	No	\$15,001 - \$50,000
44	VODAFONE GROUP PLC (VOD)	Sale	04/26/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other exempt investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105

and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 06/02/2021 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 06/28/2021 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 06/07/2021 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/04/2021 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ACTIVISION BLIZZARD INC (ATVI), CLOSED WRITTEN CALL OPTION POSITION		05/18/2021	No	\$1,001 - \$15,000
2	ACTIVISION BLIZZARD INC (ATVI), OPENED WRITTEN CALL OPTION POSITION		05/18/2021	No	\$1,001 - \$15,000
3	AIR LEASE CORP NON CUM PFD A (AL.A)	Purchase	05/13/2021	No	\$1,001 - \$15,000
4	AIR LEASE CORP NON CUM PFD A (AL.A)	Purchase	05/12/2021	No	\$15,001 - \$50,000
5	ALGONQUIN POWER & UTILITIES CORP 6.875%-A RATE:N/A/BB+ 6.875% DUE:2078-10- 17 (AQNA)	Purchase	05/12/2021	No	\$1,001 - \$15,000
6	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	Sale	05/10/2021	No	\$15,001 - \$50,000
7	AMERICAN TOWER CORP (AMT)	Purchase	05/17/2021	No	\$15,001 - \$50,000
8	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	Purchase	05/21/2021	No	\$1,001 - \$15,000
9	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	Purchase	05/28/2021	No	\$1,001 - \$15,000
10	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	Purchase	05/27/2021	No	\$1,001 - \$15,000
11	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	Purchase	05/20/2021	No	\$1,001 - \$15,000
12	BANK AMER 7.2500 SERS L (BAC.L)	Purchase	05/20/2021	No	\$15,001 - \$50,000
13	BANK OF AMERICA CORP CALL ASN JUN PRM 00,005,824.87 (BAC)	Sale	05/25/2021	No	\$50,001 - \$100,000
14	BEST BUY CO (BBY)	Purchase	05/20/2021	No	\$1,001 - \$15,000
15	CARLYLE GROUP INC CALL ASN JUN PRM 00,015,999.64 (CG)	Sale	05/07/2021	No	\$100,001 - \$250,000
16	CHARLES SCHWAB NEW CALL ASN JUN PRM 00,006,943.84 (SCHW)	Sale	05/05/2021	No	\$15,001 - \$50,000
17	CHARLES SCHWAB NEW CALL ASN JUN PRM 00,014,755.67 (SCHW)	Sale	05/12/2021	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	CISCO SYS INC (CSCO)	Sale	05/11/2021	No	\$15,001 - \$50,000
19	CITIGROUP INC FXD TO 022023 VAR THRAFTR 5.9000% RATE:BA1/BB+ 5.900% DUE:2061-05-21 (-)	Sale	05/20/2021	No	\$50,001 - \$100,000
20	CITIGROUP INC FXD TO 052025 VAR THRAFTR 5.9500% RATE:BA1/BB+ 5.950% DUE:2061-05-21 (-)	Purchase	05/20/2021	No	\$50,001 - \$100,000
21	CROWN CASTLE INTL CORP (CCI)	Sale	05/17/2021	No	\$15,001 - \$50,000
22	DOW INC CALL ASN JUN PRM 00,027,599.39 (DOW)	Sale	05/24/2021	No	\$100,001 - \$250,000
23	ENSTAR GROUP LTD 7%-D (ESGRP)	Sale	05/10/2021	No	\$1,001 - \$15,000
24	GMAC CAP TRUST I 8.125% TO 2/15/2016 FLOATS AFTER (ALLY.A) RATE:BA3/BB- 5.940% DUE:2040-02-15 (ALLY.A)	Sale	05/26/2021	No	\$15,001 - \$50,000
25	JOHNSON & JOHNSON (JNJ), CLOSED WRITTEN CALL OPTION POSITION		05/21/2021	No	\$15,001 - \$50,000
26	JOHNSON & JOHNSON (JNJ), OPENED WRITTEN CALL OPTION POSITION		05/21/2021	No	\$15,001 - \$50,000
27	MC DONALDS CORP CALL ASN JUN PRM 00,017,059.62 (MCD)	Sale	05/27/2021	No	\$100,001 - \$250,000
28	MERCK & CO INC NEW COM (MRK)	Purchase	05/11/2021	No	\$15,001 - \$50,000
29	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	05/19/2021	No	\$50,001 - \$100,000
30	NORTHROP GUMMAN CP (NOC), CLOSED WRITTEN CALL OPTION POSITION		05/18/2021	No	\$15,001 - \$50,000
31	NORTHROP GUMMAN CP (NOC), OPENED WRITTEN CALL OPTION POSITION		05/18/2021	No	\$1,001 - \$15,000
32	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	05/19/2021	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
33	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	05/18/2021	No	\$1,001 - \$15,000
34	PEOPLES UNITED FIN INC SER A (PBCTP)	Sale	05/10/2021	No	\$1,001 - \$15,000
35	PNC FINL SVCS GP (PNC)	Sale	05/11/2021	No	\$100,001 - \$250,000
36	REALTY INCOME CORP (O)	Purchase	05/20/2021	No	\$15,001 - \$50,000
37	SCE TRUST V 5.45%-K (SCE.K)	Sale	05/19/2021	No	\$15,001 - \$50,000
38	SCE TRUST V 5.45%-K (SCE.K)	Sale	05/11/2021	No	\$1,001 - \$15,000
39	SIGNATURE BK NY 5.00% SER-A (SBNYP)	Sale	05/19/2021	No	\$15,001 - \$50,000
40	SIGNATURE BK NY 5.00% SER-A (SBNYP)	Sale	05/13/2021	No	\$1,001 - \$15,000
41	SONOS INC (SONO)	Purchase	05/13/2021	No	\$100,001 - \$250,000
42	SONOS INC (SONO), OPENED WRITTEN CALL OPTION POSITION		05/13/2021	No	\$15,001 - \$50,000
43	SOUTHERN CO/THE FXD TO 092026 VAR THRAFTR 3.75% RATE:N/A/BBB 3.750% DUE:2051-09-15 (-)	Purchase	05/03/2021	No	\$50,001 - \$100,000
44	SPDR S&P REGIONAL BANKING ETF CALL ASN JUN PRM 00,006,305.00 (KRE)	Sale	05/26/2021	No	\$50,001 - \$100,000
45	SVB FINANCIAL GROUP FXD TO 052026 VAR THRAFTR 4% RATE:N/A/BB 4.000% DUE:2061- 05-07 (-)	Purchase	05/06/2021	No	\$15,001 - \$50,000
46	TEXAS CAPITAL BANCSHARES INC FXD TO 052026 VAR THRAFTR 4% RATE:N/A/BB 4.000% DUE:2031-05-06 (-)	Purchase	04/30/2021	No	\$15,001 - \$50,000
47	UNILEVER PLC (NEW) ADS (UL)	Purchase	05/11/2021	No	\$15,001 - \$50,000
48	WILLIAMS CO INC (WMB)	Purchase	05/11/2021	No	\$50,001 - \$100,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
